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Black-odorous water bodies annual dynamics in the context of climate change adaptation in Guangzhou City, China

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3 Abstract: Black-odorous water (BOW) in urban areas has brought detrimental ecological effects and posed a threat to the health of surrounding residents. Identifying BOWs in urban areas is 4 difficult because they are usually small in area, and discontinuous in spatial distribution. The efforts 5 6 to adapt to climate change in cities have a direct connection to urban environment and may affect 7 the dynamics of BOWs, but their relationship has seldom been addressed in previous research. This research builds a new urban BOW detection model using Gaofen (GF) images and ground-level in-8 9 situ water quality data to detect the spatiotemporal dynamics of BOWs in Guangzhou City's main 10 urban area from 2016 to 2020, when comprehensive climate adaptation strategy has been 11 implemented as a pilot metropolitan area in China. Spatial analysis in the study area with a total of 12 97 focused rivers revealed a decreasing trend in BOW occurrence (from 85.57% in 2016 to 21.65% 13 in 2020) in the context of climate change adaptation efforts. Redundancy analysis between BOWs 14 occurrence and environmental factors showed that across the entire study area, the contributions of 15 anthropogenic factors (highest proportion at 14.3% for the area percentage of built-ups) to BOW, 16 such as population density, agricultural water use, domestic water use, and so on, distinctly stronger 17 than climatic drivers (largest contribution of 4.4% for temperature). The results suggested that 18 climate change adaptation efforts help to decrease BOW occurrence in the study area, while 19 exploring the response mechanism between climate change adaptation measures and the changes of 20 BOWs be necessary in the future research. The findings were conducive to the development of 21 targeted measures to decrease the occurrence of urban BOWs while improving adaptability of the 22 city to climate change.

Keywords: black-odorous water, detection model, GF images, climate change adaptation,
 spatiotemporal trends, Guangzhou

25 **1. Introduction**

Black-odorous water (BOW) is a typical urban water environment problem, and it has brought
detrimental ecological effects and posed a threat to the health of surrounding residents. Urban BOW

occurs worldwide both in developed and developing countries (Wang et al., 2019a), such as the United States(Barnes et al., 2014), Australia (Hladyz et al., 2011), India(Rixen et al., 2010) and China(He et al., 2018). As the water environment suffers from organic pollution that exceeds its self-purification capacity, the aerobic decomposition of organic matter causes oxygen deprivation(Cao et al., 2020), generating odoriferous and black substances(Li et al., 2020a; Norgbey et al., 2021).

34 Global climate change is one of the most significant challenges facing humanity, leading to 35 temperature increase and extreme weather events (Hersbach et al., 2020; Sonali and Kumar, 2020). 36 Given the complex structure, high population density, and intense human activities, cities were 37 particularly affected by climate change(Estrada et al., 2017). Accordingly, some large cities had 38 incorporated climate change into their long-term development strategies and made efforts to 39 improve their adaptivity to climate change (Malhi et al., 2020). There is a growing recognition that 40 water is central to climate change adaptation. Among the contributions identified by 79% of 41 countries, water is a top adaptation priority(Robiou du Pont et al., 2017).

42 Climate change increases the complexity and uncertainty of the formation of BOW, because 43 changes in precipitation and temperature can affect water quality by altering dilution and transport 44 processes and by affecting the degradation of river pollutants(Bartlett and Dedekorkut-Howes, 2022; 45 Santy et al., 2020). On the other hand, climate change adaptation measures such as improving and 46 rehabilitating urban drainage networks, improving the capacity to optimize water allocation, and 47 enhancing urban ecological restoration (Babaeian et al., 2021; Biswas et al., 2022) have been 48 individually proven to have an impact on the occurrence and severity of BOWs. Poor water resource 49 management exacerbated the impact of climate change on BOW(Cherkauer et al., 2021). BOW 50 bodies were also closely related to urban drainage systems when precipitation occurs(Xu et al., 51 2019b). In China, urban stormwater drainage systems may not be able to withstand sudden rainfall 52 events, resulting in low wastewater collection rates(Xu and Xu, 2022). Moreover, the separation of 53 stormwater and wastewater drainage systems may lead to contamination of water bodies by initial 54 rainfall(Liao et al., 2016; Xu et al., 2021c), which improved the complexity of spatiotemporal changes of BOWs. In addition, anthropogenic and natural factors increased risks of the rebound in 55 56 BOW presence in urban areas(Wang et al., 2022). So far, climate change adaptation in urban areas

currently focuses on the planning and implementation of actions. There are limited studies to assess
whether multifaceted climate change adaptation measures have been successful in reducing the
BOW spatiotemporal dynamics in urban areas (Berrang-Ford et al., 2021).

60 Accurately identifying BOW distribution is prerequisite to analyze the impacts of climate 61 change adaptation to BOW dynamics. Identifying BOWs in urban areas is difficult because they are 62 usually small in area, and discontinuous in spatial distribution. For small urban rivers, images with 63 less than 5 m spatial resolution are generally needed to monitor their water quality (Wen et al., 2018). 64 The development of high spatial resolution satellite data, such as the GF2 satellite, facilitated time-65 series analysis aiming at spatiotemporal trends(Fang et al., 2022) of BOW. There are unique spectral 66 characteristics of BOW that distinguish it from normal water bodies(Miao et al., 2021; Yu et al., 67 2022). Many studies adopted spectral band combinations as input and classified the BOW model 68 results into different levels by selecting thresholds for empirical models of BOW identification(Li 69 et al., 2019a; Qi et al., 2020). The Commission Internationale de L'Eclairage(CIE) method(Shen et 70 al., 2019) or the nutrient status index based on chlorophyll-a(Chla) or total suspended solids(TSS) 71 were also constructed to identify BOWs. In addition, machine learning methods have been applied 72 to BOW identification(Sarigai et al., 2020; Zhou et al., 2022) but are limited because of inadequate 73 samples. The accuracy of the model to identify the distribution of BOW over the years and the 74 possibility of differences in the dominant factors of regions with different characteristics need to be 75 taken into consideration. In recent years, with the enhancement of BOW management, there have 76 been obvious changes of BOW bodies in many cities(Cao et al., 2020), while most BOW models 77 lack applicability to different types of regional and interannual variability (Yu et al., 2022).

78 Due to its advantages of periodicity and repeatability, remote sensing data has proved to be 79 cost-effective in monitoring water environment changes, and current studies were mainly carried 80 out in inland lakes(Hu et al., 2022) and coastal areas(Zhu et al., 2022), among which the mostly 81 investigated parameters include Chla(Cao et al., 2022; Chen et al., 2022) or algal bloom(Fang et al., 82 2022), TSS (Du et al., 2022a), Secchi Disk depth(SD) or transparency (Somasundaram et al., 2021; 83 Song et al., 2022; Zhao et al., 2021), etc. However, there have been few studies on the spatial-84 temporal changes of small BOW bodies in urban areas (Zhou et al., 2022), especially in the context 85 of climate change adaptation.

With Guangzhou, China, selected as the study area, where a range of climate change adaptation measures has been implemented, the present study aims: 1) to develop an efficient and convenient BOW detection model for urban areas with high-resolution remote sensing images; 2) to explore annual spatial-temporal variations of BOWs from 2016 to 2020 in the context of climate change adaptation; and 3) to quantify the contribution of climate change and human activities related to climate change adaptation upon BOW bodies annual dynamics. The results will provide valuable insights for implementing climate change adaptation while eliminating BOWs in urban areas.

The rest of the paper is structured as follows: Section 2 describes the study area and its climate change adaptation measures, research framework, satellite image processing, the new BOW model, BOW driver selection, and analysis methods. Model validation of the BOW model, spatiotemporal variations of BOWs from 2016-2020, contributions of climate change and anthropogenic drivers are presented in Section 3. Section 4 examines the applicability of the BIR model, BOWs variation in the context of climate change adaption, limitations of the present study, and policy implications. Finally, the conclusion was provided in Section 5.

100 2. Data and Methods

101 **2.1. Study area and its climate change adaptation measures**

102 Guangzhou, the capital city of Guangdong Province, is a highly developed urban center(Yi et al., 103 2019) characterized by a dense river network and a plain landscape. This study focuses on the main 104 urban area of Guangzhou, China (Fig. 1) which was obtained based on the global impervious surface data (GAIA) (Li et al., 2020b). The total area of the study area covers 1,110 km². A large number of 105 106 rivers in Haizhu District are sensitive to tides and dissolved oxygen (DO) content fluctuates day and 107 night. Despite the rapid economic growth, the study area faces severe water pollution issues due to 108 the increasing amount of sewage discharge and relatively inadequate urban environmental 109 infrastructure, and uncertainty of precipitation caused by climate change (Xu et al., 2019a). In 2015, 110 138 BOW bodies were included in the key regulatory list of Guangzhou(Cao et al., 2020), the largest 111 number among cities in China, and later expanded to 197 in 2018. Although these BOW bodies were 112 declared to have been eliminated in 2020, some areas still suffer from the rebound phenomenon of BOW for the lack of source control treatment(Ministry of Housing and Urban-Rural Developmentof the People's Republic of China, 2021).

Guangdong Province's climate has undergone significant changes in the context of global warming. Since 1961, the average temperature in the province has increased by 0.19°C per decade, which is higher than the global average (0.15°C per decade) (People's Government of Guangdong Province, 2011). Annual precipitation and total water resources show a small cycle of abundance and deficit around the normal level in the province; however, the variability of precipitation has increased, leading to more extreme precipitation events (Wai et al., 2017).

121 In 2017, Guangdong Province issued the "Guangdong 13th Five-Year Plan for Climate Change 122 Adaptation" proposing a series of measures to enhance the province's ability to adapt to climate 123 change. Among them, the ecological environment measures play a significant role in influencing 124 BOW. To optimize and rationalize the use of water resources, replacing old urban water supply pipelines and promoting the utilization of rainwater were adopted. For strengthening water pollution 125 126 control and water ecological protection, the plan proposes strengthening the treatment of urban 127 domestic sewage, improving sewage pipelines and treatment facilities, controlling agricultural non-128 point source pollution, and purifying agricultural drainage and surface runoff (Development and 129 Reform Commission of Guangdong Province, 2017). And now Guangdong Province is developing 130 a comprehensive climate adaptation strategy addressing both urban planning and industrial 131 structural readjustment to reduce the reliance on fossil fuel consumption in economic development, 132 while improving regional resilience to water-related disasters. Measures such as optimizing water 133 management, promoting sponge cities, and improving the efficiency of wastewater treatment plants 134 were proposed in the city's 14th five-year plan (Development and Reform Commission of 135 Guangdong Province, 2022).





Fig. 1 The study area of Guangzhou City.

138 **2.2. Research framework**

The research framework is illustrated in Fig. 2. A new BOW model was established in section 2.5 and validated in section 3.1. Spatial distribution and temporal variations of BOW from 2016 to 2020 were shown and counted in section 3.2. The effects of climate change and human activities on BOW were displayed by analyzing the correlation and contributions of BOW drivers with the redundancy analysis (RDA) method in sections 3.3 and 3.4. Further interpretation of BOW variations and policy implications with climate change adaptation were discussed in section 4.





Fig. 2 Research framework.

147 **2.3. In-situ water quality data**

148 Water quality data of key rivers in Guangzhou were collected in two ways: 1) automatic monitoring 149 stations; 2) historical water quality data obtained by manual sampling monthly from 2016 to 2020, 150 published the Guangzhou Municipal Ecological Environment by Bureau 151 (http://112.94.69.56:8022/index.html#/gzhbapp-riverWaterQuality-pc). The data contain three 152 indicators, namely ammonia nitrogen (NH₃N), DO, and SD (only for 2016). The data were collected 153 from 36, 56, 60, 61, and 62 sampling points from 2016 to 2020, respectively, and some data were 154 missing in some years. Specially, the time gap between the data collected from the automatic 155 monitoring stations and the satellite image transit was 0.5 h. The study adopted the single indicator 156 method from the BOW standard (Ministry of Housing and Urban-Rural Development of the People's Republic of China, 2015), i.e. if one indicator exceeds the specified value, the sample is 157 158 determined as a BOW(Miao et al., 2021). In the practical management in Guangzhou, NH₃N is used 159 as the primary criterion for BOW determination. In this study, NH₃N and DO were used to classify 160 BOW levels. If NH₃N and DO of a sample exceed the standard values, it is sufficient to identify the 161 sample as BOW.

162 **2.4. Satellite images and processing**

163 **2.4.1. GF imagery selection**

164 20 scenes of GF-1 and GF-2 images from 2016 to 2020 were collected, and their details are provided165 in Table 1.

166

Table 1. Satellite images used in this paper

Туре	Imaging Time	Scenes	Spatial Resolution for GF images			
GF-1	2016-12-07	4	CE 1 DMS	Panchromatic	2m	
GF-2	2017-09-15	6	GF-1 PMS	Multispectral	8m	
GF-1B	2018-10-07	2	CE 1 D/D	Panchromatic	2m	
GF-1D	2018-09-11	1	GF-1 B/D	Multispectral	< 8m	
GF-1	2019-09-28	2	CE 2 DMC	Panchromatic	0.8m	
GF-2	2020-11-26	5	GF-2 PMS	Multispectral	3.2m	

167 China's GF-1 and GF-2 are high-resolution Earth observation satellites launched in 2013 and 2014, respectively. They capture images with high-spatial resolution and have multiple bands including 1 168 169 panchromatic and 4 multispectral (Blue, Green, Red and Near-infrared) bands. GF images are 170 currently suitable for urban water quality studies because of their sub-meter level of spatial 171 resolution accuracy.

172 2.4.2. Image preprocessing

Five steps were applied before water extraction. First, the geometric correction was performed. 173 Second, these images were radiometrically calibrated and atmospherically corrected using the 174 175 FLAASH model in ENVI 5.3 software. Then the Gram-Schmidt Pan Sharpening method was 176 utilized to fuse the panchromatic and multispectral bands to obtain higher spatial resolutions, and 177 the infused spatial resolutions of GF-1 and GF-2 images were 2 m and 1 m respectively. Four, the 178 image mosaic is necessary to provide image information for an entire study area. Finally, mosaiced 179 images were clipped using boundary shape files of the study area to facilitate water surface 180 extraction and the BOW model calculation.

181 Water extraction is an essential part. Shadows from the densely packed tall buildings and 182 asphalt streets in Guangzhou contaminated the images, making it difficult to extract small water 183 bodies from images (Bie et al., 2020). To attenuate the interference of roads and shadows on water 184 body classification, the four bands of the GF images were combined with the normalized difference 185 water index (NDWI), and then classified with maximum likelihood classifier. The overall accuracies 186 and kappa coefficients of water body extraction were all above 87% and 0.87 (shown in Table S1), 187 adequate for water quality parameters retrievals(Zhao et al., 2021). To ensure the reliability, water 188 bodies less than 50m² were not used in further analysis.

189

2.5. BOW model and optimal thresholds

190 A total of 88 image samples at corresponding in-situ sites were selected to construct a new BOW 191 model, including 46 ordinary water samples and 42 BOW samples on September 15, 2017. 192 Additionally, 18 in-situ water quality samples were selected based on automatic water quality 193 monitoring stations. 51 image sampling points were located close to an automatic monitoring station, 194 the bend of a river, in slow-flow zones, and near residential areas, for the water quality at these

195 points was nearly equivalent to that of the nearest automatic monitoring station.

196 **2.5.1. BOW identification model**

197 The image reflectance of BOW and ordinary water differs across several GF bands (Fig. S1). Aiming 198 at amplifying the difference between BOW and ordinary water, the present study constructed an 199 index, namely BOW by Image Reflectance (BIR), as shown in Eq. (1).

200
$$BIR = \frac{(\text{NIR-R})/(\lambda_{\text{NIR}} - \lambda_{\text{R}})}{(\text{G-R})/(\lambda_{\text{R}} - \lambda_{\text{G}})} = \begin{cases} \leq \text{threshold, Ordinary water} \\ > \text{threshold, BOW} \end{cases}$$
(1)

201 where, B, G, R, and NIR represent remote sensing reflectance in the blue, green, red, and near-

202 infrared bands respectively. λ_G , λ_R , λ_{NIR} are central wavelengths of the green, red, and near-

203 infrared bands. For GF-2,
$$\lambda_G = 555 \text{ nm}$$
, $\lambda_R = 665 \text{ nm}$, and $\lambda_{NIR} = 821 \text{ nm}$. For GF-1, $\lambda_G = 1000 \text{ m}$

204 576 nm,
$$\lambda_{\rm R} = 680$$
 nm, and $\lambda_{\rm NIR} = 810$ nm.

205 **2.5.2.** Optimal BOW threshold with automatic discrimination algorithm

206 57 training samples were randomly selected from the total 88 samples and comprised 29 ordinary 207 water samples and 28 BOW samples. The remaining 31 samples were testing samples, consisting 208 of 17 ordinary water samples and 14 BOW samples. Using the training samples, the recognition 209 accuracy $T_accuracy_i$ and the optimal threshold T_{best} were calculated by Eq. (2).

$$T_{best} = Max(T_{accuracy_i}) = Max(\frac{(\sum CN_{train})_i}{N_{train}})$$
(2)

where i = 1, ... 57 refers to the *i*-th training sample; $\sum CN_{train}$ is the sum number of correctly recognized BOW and ordinary samples for a given threshold, and $N_{train} = 57$. The best threshold corresponds to the BIR model value which achieves the highest value of $T_{accuracy_i}$.

To enhance the recognition accuracy of BOW, it is necessary to calibrate the threshold value for each year of the BIR model. This can be achieved by applying the Automatic Threshold Selection of BOW (ATSB) algorithm in Eq.(2) using *Matlab 2021a*. Additionally, statistical characteristics and the Mann-Whitney U nonparametric test were used to determine if training and testing samples were randomly distributed(Cardew, 2003) with *IBM SPSS Statistic 20*.

218 2.5.3. Model accuracy assessment

- 219 To assess the accuracy of BOW models, overall recognition accuracy (RA) (Eq.3) and kappa
- 220 coefficient (Eq.4-6) were calculated with test samples.

$$RA = \frac{CN_{test}}{N_{test}} \times 100\%$$
(3)

where CN_{test} is the number of testing samples correctly recognized, including ordinary water (ORW) and BOW samples, and N_{test} is the total number of testing samples.

$$Kappa = \frac{RA - Pe}{1 - Pe} \tag{4}$$

$$Pe = \frac{CN_{ORW} \times N_{ORW} + CN_{BOW} \times N_{BOW}}{N_{test}^2}$$
(5)

$$RA_{ORW} = \frac{CN_{ORW}}{N_{OR}} \times 100\%$$
(6)

$$RA_{BOW} = \frac{CN_{BOW}}{N_{BOW}} \times 100\%$$
⁽⁷⁾

Where RA_{OR} and RA_{BOW} are recognition accuracies of ordinary water and BOW respectively. CN_{OR} , and CN_{BOW} are the numbers of correctly recognized ordinary water and BOW respectively, and N_{OR} and N_{BOW} are amounts of the test samples for ordinary water and BOW in the same order.

226 **2.6. BOW drivers affected by climate change adaptation**

To explore how environmental factors make changes in BOW bodies, potential BOW drivers that 227 228 would be influenced by climate change and its adaptation and directly contribute to the formation 229 and development of BOW should be selected in preference. Natural impacts are mainly from climate change(Xu et al., 2021b) and are normally represented by Temperature and Precipitation. 230 231 Anthropogenic factors correspond to human activities and reflect the results of climate change 232 efforts made in Guangzhou. These factors include two parts, namely urban expansion, water use 233 and discharge. Urban expansion is often reflected in terms of built-up area and population density. 234 Land use and land cover change(LUCC), especially in urban and industrial areas(Song et al., 2022), 235 are the main drivers of water quality degradation(Bhat et al., 2021; Zhao et al., 2015). The 236 impervious surface area has a significant effect on total phosphorus (TP), total nitrogen (TN), and 237 DO(Li et al., 2019b; Wang et al., 2021). It is essential to analyze the impact of LUCC on water quality in high-speed urbanized areas(Lin et al., 2021; Liu et al., 2022). Population density is often
used as a driving factor in water quality for its direct correlation with human activities(Bhat et al.,
2021). Water use and discharge include water consumption and discharge. Insufficiently regulated
discharge of wastewater is the primary contributor to water pollution(Jones et al., 2022). Industrial
wastewater, domestic sewage, and fertilizer from human life and industrial process(Lin et al., 2021),
flow into urban rivers and lakes through point and surface sources of pollution, leading to
eutrophication and even blackening water bodies(Ren et al., 2018; Song et al., 2021).

Data for potential natural and anthropogenic factors from 2016 to 2020 were collected (Table 2). Population data was validated with satisfying accuracy by *Guangzhou Statistical Yearbook*. Built-ups represent the percentage of built-up area to the total area of a region, revealing the intensity of exposure to human activities(Zhao et al., 2022). Besides, the area percentage of BOW bodies to the total water surface (extracted from 2.4.3), called the BOW-area, can quantify the effect of these factors on BOW changes. Therefore, the BOW-area was adopted as a dependent variable, and the value of each factor was regarded as another independent variable to analyze their relationships.

252

 Table 2. The data source for the BOW factors

Es stor trass	Dete ^a)	11	Resolu	ıtion	Sauraa	Url	
Factor types	Data"	Unit	Temporal	Spatial	Source		
	Pre	0.1mm	monthly	1km	the National	1	
change	Tmp	0.1℃	monthly	1km	Earth System Science Data Center	data.cn	
Luban	Рор	person/km ²	annual	1km	LandScan	https://landscan .ornl.gov/	
expansion	Built-up area	pixel	annual	1m/2m	LUCC from section 2.4.3 in this paper	-	
	Wastewater	t/person	annual	_ b)	C 1		
Water use and	Agricultural water	m ³ /person	annual	-	Water	http://slt.gd.gov	
discharge	Industrial water	m ³ /person	annual	-	Bulletin	.cn/szygb/	
	Domestic water	m ³ /person	annual	-	Builetiii		

253 ^{a)} F

^{a)} Pre = precipitation; Tmp = temperature; Pop = population density; Wastewater = wastewater

discharge; Agricultural water = agricultural water use; Industrial water = industrial water use;

255 Domestic water = domestic water use; Built-up area = the area of built-ups.

^{b)} Not applicable.

257 BOW-area and Built-ups here are calculated with buffer zones as the calculation units. Bhat et 258 al. (2021) found that the reach-scale (500 m wide section) explained slightly better (76%) variations 259 in water quality than riparian (75%) and watershed (70%) scales. In areas with high anthropogenic 260 impacts, such as rapidly urbanizing areas, circular buffers are crucial for conservation efforts(Song 261 et al., 2020). Human activities and the presence of artificial river systems and ponds in Guangzhou 262 make BOW bodies highly susceptible to changes. Consequently, using watersheds, normally retrieved by digital elevation model, as analysis units are impracticable. Instead, LUCC within 263 264 buffers can have a more direct and effective impact on water bodies(Liu et al., 2021). Using ArcGIS 10.4 software, the hydrological unit boundary was established by forming a circular buffer zone 265 266 with a radius of 200m around the water quality monitoring station as the geographic center.

267 2.7. Data analysis methods

Here, spearman correlation analysis in Eq.(8) was adopted to determine the relationship r between BOW-area and each environmental factor variable, both in the direction (positive or negative) and strength (2-tailed significance test)(Du et al., 2022b). The correlations between the BIR model and water quality parameters were also analyzed with the same method.

$$r = \rho_{R(X),R(Y)} = \frac{\operatorname{cov}(R(X), R(Y))}{\sigma_{R(X)} \sigma_{R(Y)}}$$
(8)

where, ρ denotes the usual Pearson correlation coefficient, but applied to the rank variables, cov(R(X), R(Y)) is the covariance of the rank variables, $\sigma_{R(X)}$ and $\sigma_{R(Y)}$ are the standard deviations of the rank variables.

To better explicate the influence of environmental drivers on BOW changes, RDA was 275 276 conducted to calculate the relative contribution(Wang et al., 2019b) to explore how the environment 277 affected the conditions of BOW bodies. The greatest advantage of RDA is that the contribution of 278 each factor to the BOW-area can be maintained independently, effectively providing a statistical test 279 for multiple explanatory variables(Cheng et al., 2018). RDA can be understood as a two-step process(Legendre and Legendre, 2012) in Eq.(9)-(10). $R^2_{Y|X}$ measures the strength of the 280 canonical relationship between Y and X by Eq. (11); Adjusted $R^2(R_a^2)$ in Eq. (13) applies a 281 correction of the R^2 to take into account the number of explanatory variables. 282

$$Y_{fit} = X[X'X]^{-1}X'Y$$
(9)

$$Z_{fit} = Y_{fit} U \tag{10}$$

$$R^2{}_{Y|X} = \frac{\mathrm{SS}(Y_{fit})}{\mathrm{SS}(Y)}$$
(11)

$$Z_{res} = (Y - Y_{fit})U_{res}$$
(12)

$$R_{a}^{2} = (1 - (1 - R_{Y|X}^{2})\frac{(n-1)}{(n-m-1)})$$
(13)

where, X is an explanatory matrix for explanatory variables, and Y is a response matrix. The first step in Eq. (9) regresses each variable in Y on all variables in X and computes the fitted values Y_{fit} . Then the second step in Eq. (10) carries out a PCA of the matrix of fitted values to obtain the matrix of eigenvectors, namely U and U_{res} . The space of explanatory variables X is obtained as Z_{fit} . In Eq. (11), SS(Y_{fit}) is the total sum of squares of Y_{fit} , and SS(Y) is the total sum of squares of Y. Another PCA ordination, Z_{res} , can be computed in Eq. (12) for the matrix of residuals. In Eq. (13), *m* is the number of explanatory variables in X.

290 Combining the characteristics of administrative districts and watersheds, the study area was 291 divided into four sub-regions to calculate the contributions of drivers. Liwan District (L) and Baiyun 292 District (B) were respectively separate sub-regions; Yuexiu, Tianhe, and Huangpu districts were 293 combined into one group named YTH, as a sub-region; and Haizhu and Panyu districts were formed 294 as a group named HaP. Standardized data of factors were input into *Canoco for Windows 4.5 RDA* 295 software and the significance of the variables was tested by the Monte Carlo method.

296 **3. Results**

297 **3.1. Validation of the BOW identification model**

The optimal threshold selection (Fig. S2), overall accuracy, and kappa coefficients were obtained from the best-performing combinations of bands for the BIR model. It achieved the best overall recognition accuracy of 96.8%, with a BOW recognition accuracy of 92.9%, ORW accuracy of 100%, and a kappa coefficient of 0.98. Meanwhile, Mann-Whitney U nonparametric test showed no significant differences between training and testing samples (Table S2). There are significant differences in three BOW determination indicators (NH₃N, DO, and SD) and BIR values between
ORW and BOW bodies (Fig. S3), illustrating a good performance of the BIR model in separating
BOWs and ORWs.

The detection accuracies of BIR with the optimal thresholds for the years 2016-2020 were also calculated (Table 3). The overall accuracy was greatly improved after the threshold adjustment, such as the RA in 2016 from 66.67% to 91.67%. Especially, even for the images in 2017, the threshold correction was still required to apply to the main urban area. Meanwhile, there is an increasing trend of optimal thresholds over the past years.

311

Table 3. Threshold correction results and recognition accuracy

Year	Before a	djustment	After adjustment			
	Original threshold	Corresponding RA	Adjusted threshold	Corresponding RA		
2016	0.62	66.67%	0.26	91.67%		
2017	0.62	82.14%	0.54	87.50%		
2018	0.62	73.21%	2.94	90%		
2019	0.62	59.02%	2.08	90%		
2020	0.62	90.32%	_ a)	-		

^{a)} "-" represents "not adjusted", because there is no BOW sample for that year and the threshold
 correction to Eq. (1) cannot be performed. Therefore, the threshold for the BOW recognition model
 in 2020 is kept at 0.622 without adjustment.

315 **3.2. Spatiotemporal variations of BOWs**

316 With the adjusted thresholds for the BIR model, the spatial distributions of BOW bodies in the main 317 urban area of Guangzhou City from 2016 to 2020 were obtained and shown in Fig. S4. BOW bodies 318 showed a significant decreasing trend in the entire study area, despite obvious variations in different 319 regions. Taking the distribution map in 2016 (Fig. 3) as an example, it shows that BOWs are 320 concentrated in Liwan District and Haizhu District. To present the BOWs distribution in these parts more clearly, Fig. 4 displays the partial views of BOW detection results, which illustrated a 321 322 progressively declined tendency of BOW bodies. BOW bodies were mainly observed in narrow 323 rivers in central urban areas, with the largest number in Liwan District.





Fig. 3 Spatial distribution of BOW in 2016 of the study area





327 328



Fig. 4 Partial views for the spatial distribution of BOW bodies in 2016-2020, corresponding to (a)-

To quantitatively analyze BOW changes in various districts, the BOW distribution maps (Fig.
S4) were statistically counted year by year (Table 4). Due to the availability of images, not all areas

331 were covered every year, the calculation was performed in the overlap area of 5 years of images,

labeled with 'Samearea' in Fig. 3. During the study period, a decreasing trend of BOW areas was

333 observed in all districts. By 2020, no BOWs were observed in three districts, specifically, Yuexiu,

Haizhu, and Panyu.

Water type	ORW (ha)				BOW (ha)					
District	2016	2017	2018	2019	2020	2016	2017	2018	2019	2020
Yuexiu	204.71	201.14	175.28	190.42	195.27	28.55	12.58	0.35	7.04	0
Tianhe	348.81	337.53	337.42	310.02	361.77	34.59	38.30	3.57	18.85	1.18
Liwan	497.32	528.82	507.88	487.45	537.72	53.25	12.84	3.23	12.50	0.05
Huangpu	261.85	282.11	280.71	270.62	299.47	19.22	14.28	1.61	6.78	0.82
Haizhu	1009.06	942.00	1092.44	1088.94	1144.77	50.24	18.04	1.36	8.68	0
Panyu	1115.02	1014.31	1330.89	1281.97	1391.79	56.53	43.88	1.50	17.23	0
Baiyun	529.18	668.60	626.48	550.08	655.50	205.13	75.59	2.00	57.95	0.88
Total area	3965.95	3974.50	4351.11	4179.50	4586.28	447.52	215.51	13.62	129.03	2.93
Areal percentage	89.86%	94.86%	99.69%	97.01%	99.94%	10.14%	5.14%	0.31%	2.99%	0.06%

Table 4. Statistical results of the area of BOW and ORW identified in 2016-2020

336 Quantitative zoning statistics were also conducted from the perspective of BOW management. 337 Considering the water bodies extracted from GF images are greater than 2 m in width, excessively 338 narrow rivers that cannot be identified in section 2.4.3 and rivers of discontinuous occurrence within 339 5 years were excluded. The total number of rivers and lakes counted here is 97, dispersedly 340 distributed in 7 districts, namely, 3 in Yuexiu District, 13 in Tianhe District, 30 in Liwan District, 7 in Huangpu District, 13 in Haizhu District, 15 in Panyu District, and 16 in Baiyun District 341 respectively. The study area includes 23 rivers listed as "35 BOW bodies in Guangzhou", 24 rivers 342 343 "50 BOW "112 BOW in bodies". 46 rivers in bodies" (http://swj.gz.gov.cn/mssw/sjfb/content/post 6900098.html), and 4 important water bodies. The 344 345 basic principle of zonal statistics is that as long as the length of BOW in a continuous river reaches 346 1/3, the river segment is judged as a BOW body, which overcomes the limitation of determining 347 BOW levels by a sampling point.

348





Fig. 5 Zonal statistics for multi-year BOW detection results. (a) shows the number of BOW and ORW changes by various districts and the total number by the whole study area. (b) exhibits the percentage of volume for BOW bodies over the years. The detailed amount of detected BOW bodies for each district were shown in Table S3 and Table S4.

354 Across the whole study area, the number of BOW bodies shows a general decreasing trend 355 from 2016 to 2020, and it dropped to about 1/4 of what it was in 2016(85.57%) by 2020(21.65%). 356 Correspondingly, there is a yearly increase in the number of ORW. The results indicate that BOW 357 bodies have been progressively treated, but they have not been eliminated. These trends in BOW 358 quantities indicate poor water quality in 2016-2017 and a significant improvement from 2017 359 onward. However, there is a rebound (72.16%) of BOW bodies in 2019 and the highest number of 360 BOW bodies remained in Liwan District. In 2016-2017, BOW bodies were mainly distributed in 361 Liwan District, Baiyun District, Panyu District, and Tianhe District; and then, BOW bodies were 362 largely detected in Liwan District, Baiyun District, and Tianhe District in 2018-2020. There were 363 relatively fewer BOW bodies in Huangpu and Yuexiu districts, showing their better river water 364 quality.

365 **3.3. The effects of climate change on BOWs**

366 Correlation analysis (Fig. 6) and contribution calculations of BOW factors (Fig. 7) were performed

367 to quantify the relationship between environmental factors and BOW and the factors' effects on BOW. Firstly, there was a strong positive correlation between BIR and BOW-area (r=0.60, p<0.05), 368 369 which confirmed the rationality of the BIR model from another perspective. However, 370 precipitation(r=-0.085) and temperature(r=-0.057) showed weak and negative correlations with 371 BOW-area. Therefore, an increase in temperature and precipitation will cause the intensification of 372 BOW. Meanwhile, there was a strong correlation between Pre and Tmp (r=0.74).



373

Fig. 6 Correlation coefficients between BOW and environmental factors. The X sign represents 374 375 the insignificant correlation at the significance level of 0.05.

For the entire study area, temperature contributed 4.4% while precipitation only contributed 376 377 0.2% to the BOW (Fig. 7). However, the impacts of climate change on BOW varied greatly across different sub-regions. Precipitation contributed more to the BOW in the HaP district (5.2%) than in 378 379 other areas and its contribution to BOW was higher than that of temperature in the HaP, L, and B 380 districts. This suggests that precipitation has a greater impact on BOW in the HaP district than in other areas, and that its effect is larger than that of temperature. In the YTH sub-region, the 381 382 contribution of temperature (17.9%) was higher than in other areas. Only in the YTH did the 383 combined contribution of natural factors exceed that of human activities, indicating that the BOW

384 in this area was more significantly affected by climate change. Therefore, the slightly increasing 385 temperature and precipitation over years increase the risk of BOW occurrence, particularly in YTH.



Sub-regions

Notably, natural factors may not have a consistent correlation with and contribution to BOW

Fig. 7 The relative and total contribution rates of the environmental factors in the BOW-area. And 387 388 the total contribution rates represent the adjusted explained variation of explanatory variables in 389 RDA. The total contribution of all factors was lower than the sum of all factors for the covariance 390 between environmental factors. The detailed contribution rates of factors were shown in Table S5.

392 in different districts. For example, although the correlation between temperature and BOW is lower than that(r=0.18) between Wastewater and BOW in the entire area, the contribution of temperature 393 394 to BOW is higher than that of Wastewater (0.1%). This is because the correlation coefficient 395 measures only the linear relationship between environmental factors and BOW, while the RDA 396 model further explores the nonlinear relationship and takes into account the influence of other 397 factors. The high correlation(r=0.55) between wastewater and industrial water use reduces its 398 explanatory power for BOW after accounting for the effect of industrial water use. Therefore, this 399 further underscores the necessity of dividing the study area into different sub-regions for separate 400 analysis, and not overlooking the impact of temperature and precipitation on BOW by solely relying

386

391

401 on correlation analysis.

402 **3.4. Contributions of anthropogenic drivers to BOWs**

The correlation analysis (Fig. 6) revealed that anthropogenic factors had a stronger correlation with BOW-area than natural factors. BOW-area had a significantly positive correlation with Pop, Builtups, and Wastewater, while there was a significant negative correlation with Agricultural water. Built-ups exhibited the highest relationship among the anthropogenic drivers, with a correlation coefficient of 0.44 and a p-value of <0.05, followed by Pop and Wastewater.

408 There are significant variations in the impact of human activities on BOW occurrence in the 409 entire study area and its sub-regions. In the entire study area, the largest contributors to BOW were 410 Build-ups with 14.3% and followed by Tmp and Domestic water (as shown in Fig. 7). Similar to 411 natural factors, BOW in the sub-regions was affected to varying degrees by anthropogenic factors. 412 In the HaP sub-region, Pop contributed the most with 31.4%, followed by Domestic water and 413 Agricultural water. Built-ups accounted for the largest contribution in L with 17.9%, followed by 414 Agricultural water. Pop and Domestic water were the main contributors to BOW in both HP and B 415 districts. In the YTH sub-region, climate change, namely the Tmp here, had the largest effect on 416 BOW, and Industrial water had a higher contribution compared to other districts. Overall, human 417 activities had a greater impact on BOW occurrence than climate change in the main urban area of 418 Guangzhou.

419 **4. Discussion**

420 **4.1. Applicability of the BIR model**

The BIR model using high spatial resolution remote sensing images enables fast and accurate identification of the spatial-temporal variations in BOW, which lays the foundation for studying the relationship between climate change adaptation and BOWs. Image reflectance of BOW in B, G, and R bands is lower than that of ordinary water (Fig. S1(b)), due to the low reflectance of dark and suspended particles in BOW bodies(Duan et al., 2014). Therefore, the BIR model takes advantage of the differences in central wavelength between NIR and R, and G and R, achieving good BOW identification, and should be applicable to other images of similar band design to Gaofen. 428 Additionally, ASTB facilitated the model application to different ground conditions and high-429 resolution remotely sensed images.

430 Furthermore, the BIR model would be applicable to identify BOWs in typical southern urban 431 rivers that are of relatively high TSS concentrations. In a typical northern city like Taiyuan, the 432 image reflectance of ordinary water bodies in the Red band is higher than that in the Green band(Li 433 et al., 2019a). In contrast, in the southern rivers, the high TSS levels result in higher image reflectance in the Green band (Xu et al., 2021a). Ordinary water rich in suspended sediment is 434 435 therefore likely to be mistakenly recognized as BOW by existing models, particularly for tidal rivers 436 in estuarine zones in southern China(Shen et al., 2019). Compared to previously published BOW 437 models built for northern urban rivers with accuracies of more than 80% (Li et al., 2019a; Qi et al., 438 2020; Shen et al., 2019), the BIR model exhibits better performance.

439 **4.2. BOW** variations in the context of climate change adaptation

440 From 2016 to 2020, BOW showed a downward trend overall (Fig. 5) benefiting from the vigorous 441 promotion of policies in water management under climate change adaptation. DO was relatively 442 low in general, while NH₃N showed a decreasing trend year by year (Fig. S3(b)(d)). The high 443 correlation (r=0.94, p<0.05) between NH₃N and TP (Fig. S5) indicates that the area is significantly 444 influenced by human activities. High concentrations of NH₃N in 2016 could be linked to increased 445 nutrient delivery to urban rivers from industrial facilities, wastewater treatment factories(Song et 446 al., 2021; Zhu et al., 2022), and sewage discharges (Fang et al., 2022). Since 2016, Guangzhou has 447 implemented a series of climate change adaptation measures (as described in section 2.1) which 448 have weakened BOW drivers, and in turn mitigated BOW occurrence. Since 2017, the key factor of 449 BOW was transformed to DO. Although the number of BOW bodies identified in 2019 suddenly 450 increased for the excess of DO, NH₃N was only partially exceeded (Fig. S3). Accordingly, the water 451 quality has improved greatly, compared to the previous situations(Cao et al., 2020).

The dominant driving factors of BOW bodies have shown obvious regional characteristics. The largest number of BOW bodies occurred in the Liwan District, which depicted the negative impacts from the factors with relatively higher contributions(Built-ups and Agricultural water), associated with faster economic development and higher wastewater discharge(Bhat et al., 2021). Flower industries in Guangzhou are mainly concentrated in Liwan District, so Agricultural water and 457 Wastewater had an important impact on rivers. The dense distribution of urban areas and the long absence of dredging in some rivers increased the risks of BOW bodies and the difficulty of pollution 458 459 tackling(Rong et al., 2020). In the HaP sub-region, Pop and Domestic water performed better in 460 explaining BOW variations, indicating that domestic wastewater discharge was the dominant factor. 461 Haizhu and Panyu districts have cleaned up and rectified many "scattered and disorganized" sites 462 after the implementation of the river chief system, including the closure of small printing and dyeing 463 workshops, cracking down on illegal acts of discharging polluted water, and increasing investment 464 for the construction of sewage networks, which reduced the amount of industrial water use and 465 wastewater discharge (Fig. S6). There were relatively fewer BOW bodies in the YTH and a clear 466 declining trend, with BOW almost no longer emerging until 2020. Tmp and Industrial water were 467 core factors that dominated the BOW-area in these districts, resulting from the weaker impact of 468 Built-ups in urban areas with relatively high vegetation coverage. In addition, COVID-19 has 469 brought a halt to production in some factories(Braga et al., 2022), and therefore, a decrease in 470 industrial wastewater discharge(Yunus et al., 2020) in 2020(Fig. S6), and a possible increase in 471 domestic wastewater emission, which may influence the BOW variation.

472 Furthermore, the overall contribution was lower than the explanatory degree of each factor(Fig. 473 7) because of the correlations between these factors(Chen et al., 2018). The total contribution of the 474 8 factors ranged from 4.2% to 45.6%, suggesting the possibility of other unconsidered factors(Du 475 et al., 2022b; Fang et al., 2022) that were not considered in controlling the interannual changes in 476 BOW for the data unavailability, and other potential factors such as the wastewater treatment 477 investment. The instability of driving factors may be caused by limited 5 years of BOW variations. 478 Accordingly, future studies on BOW changes should focus on combining long-term, high-resolution 479 satellite images and water quality monitoring data to identify potential factors.

480 **4.3. Uncertainty and limitations**

The BIR model adopted a single parameter method to determine the BOW level, which may cause errors and occasionally even differences (Lyu et al., 2022). Firstly, water quality changes reveal that BOW bodies are mainly attributed to the combined effect of DO and NH₃N. Except for 2016, SD was higher than 25 cm (Fig. S3(a)). TP in most BOW bodies was higher than 0.4 mg/L (Fig. S3(c)), 485 while the standard value(40mg/L) of COD (Fig. S3(e)) is not exceeded. Besides, BIR showed a positive correlation (r=0.40, p<0.05) with NH₃N, and a negative correlation with DO and SD (Fig. 486 487 S5). This further proves that the controlling indicators of BOW in Guangzhou were NH₃N and DO. 488 What's more, the optimal thresholds of the BIR model and OA of BOW recognitions were 489 calculated by three different standards, namely, NH₃N, DO, and NH₃N \cup DO \cup SD (Table 5), to 490 compare the difference between the three methods for identification results. The highest OA was 491 based on the determination of any one of the three indicators, followed by a single NH₃N method 492 and the lowest accuracy for a single DO standard. The result justifies the use of the single indicator 493 determination method adopted in section 2.3.

Standards	NH ₃ N		DO		$NH_3N \cup DO \cup SD$		
Veer	Optimal	04	Optimal	04	Optimal	04	
Iear	threshold	UA	threshold	UA	threshold	0A	
2016	0.258	83.3%	1.964	66.7%	0.258	91.7%	
2017	0.760	82.2%	-1.639	56.3%	0.544	87.5%	
2018	2.941	95.0%	3.020	90.0%	2.941	90.0%	
2019	3.757	83.3%	8.910	70.0%	2.081	90.0%	
2020	0.622	88.7%	0.622	90.3%	0.622	90.3%	

494 Table 5. BIR model thresholds and BOW recognition accuracy under different discriminant criteria

In addition, there are some other limitations that should be addressed, such as the limited number of GF images and seasonal water quality data(Lyu et al., 2022; Nukapothula et al., 2019). Therefore, increasing the amount and spatial density of automatic water quality monitoring stations can improve the accuracy of the rapid identification of BOW bodies. Besides, the availability of month-scale data corresponding to climate change adaptation measures (such as the amount of domestic wastewater discharged and investment in wastewater treatment) would better assess the impact of climate change adaptation on BOW.

Additionally, due to data unavailability and the complexity of factors influencing BOW, the present study reveals the annual spatial distribution of BOWs in the context of climate change adaption. Causes of BOW are very complex and are easily influenced by stochastic human activities. For example, one of the direct driving factors of BOW is the amount of wastewater discharge, which is related to the optimization of urban pipe networks and sewage treatment facilities and may even be affected by other non-climate change adaptation measures. Further exploration is needed to 508 elucidate the direct contribution of climate change adaptation measures to BOWs and its mechanism.

509 4.4. Implications for management and policymaking

510 Quantitative identification of BOW from time-series satellite images enables rapid monitoring of 511 water pollution and scientific assessment for the effectiveness of BOW treatment. Spatial-temporal 512 variations of BOW bodies in Guangzhou have shown that there was a significant improvement in 513 water quality during 2016-2020, as a result of active implementation of water pollution control and 514 climate change adaptation measures. However, strong risks of potential re-blackening exist in BOW 515 bodies, such as the sudden increase in BOW occurrence in 2019. The identification of BOW bodies 516 can help to quickly locate the key river sections to be treated. For example, Liwan District and 517 Baiyun District still maintained a higher number of BOW bodies than other regions in 2020, despite 518 some modest decreases in Wastewater discharge which have been recorded beginning in 2019 (Fig. 519 S6). The BOW changes also enable the manager to grasp whether the policy is being implemented in the region and whether water treatment under climate change adaptation is making a difference 520 521 to the improvement of the BOW phenomenon.

522 Furthermore, studying the drivers of BOW will assist in the development of accurate policies 523 and effective climate change adaptation measures for managing those environmental factors in local 524 districts, to adapt to and mitigate the impacts of climate change and human activities on urban water environment to reduce BOW bodies. In the study area, the variations of BOW bodies were mainly 525 526 correlated with Built-ups, Tmp, and Domestic water. Corresponding climate change measures need 527 to be implemented with a priority. On the one hand, the impact of urbanization on water quality 528 would be mitigated to some extent by rational planning of urban layout and functions, such as 529 increasing green space coverage and urban park areas, and enhancing the effectiveness of 530 ecosystems in purifying water quality. On another hand, increased investment in domestic 531 wastewater treatment and strict control of discharges can promote the reduction of BOW bodies. Meanwhile, the dominant factors of BOW changes vary in different regions, suggesting that 532 533 tailored management for controlling drivers would be more effective for treating BOWs. Taking the 534 Liwan district as an example, increasing urban greenery and improving water-saving irrigation 535 should be prioritized.

536 **5. Conclusions**

537 With a BOW model constructed for identification BOWs in urban areas using high spatial resolution

538 Gaofen images, the annual dynamics of BOW distribution from 2016 to 2020 in Guangzhou was

539 explored in the context of climate change adaption. The main findings are summarized as follows:

540 (1) The number of BOW bodies in the main urban area of Guangzhou showed a decreased tendency

from 83 in 2016 to 21 in 2020, despite a re-blackening situation in 2019, illustrating that direct

- pollution control in the context of climate change adaptation measures has promoted water qualityin the urban rivers.
- (2) BOW changes in different regions are dominated by distinct drivers. Human activities exhibited a more important role in the annual variations of BOW bodies. Appropriate climate change measures are required to fine-tune the management of BOW by mitigating those anthropogenic drivers and improving the efficiency and effectiveness of water quality optimization.
- 548 (3) The BOW detection method aided by an automatic threshold selection algorithm has prompted 549 the expeditious identification of BOWs fed with Gaofen images. This method facilitates quick 550 monitoring of spatial-temporal dynamics of small BOWs in urban area with images of similar band 551 design to Gaofen, and generates basic data required for exploring the direct contribution of climate 552 change adaptation measures to BOWs and its mechanism.

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557 Earth Observation System (<u>http://gdgf.gd.gov.cn/GDGF_Portal/index.jsp</u>)".

558 Statements and Declarations

559 Author Contributions: All authors contributed to the study conception and design. Tianhong Li* 560 designed the research and edited the manuscript; Bing Liu designed and performed the research, 561 and prepared the draft; Haojun Xi contributed to the introduction and edited the manuscript. The

- 562 first draft of the manuscript was written by Bing Liu and all authors commented on previous
- 563 versions of the manuscript. Alistair G.L. Borthwick contributed to international background,
- 564 consummated the methodology. All authors read and approved the final manuscript.
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