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1 Moderate reductions in dissolved oxygen may compromise performance in an  
2 ecologically-important estuarine invertebrate

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26 ABSTRACT

27 Coastal ecosystems, including estuaries, are increasingly pressured by expanding  
28 hypoxic regions as a result of human activities such as increased release of nutrients  
29 and global warming. Hypoxia is often defined as oxygen concentrations below 2 mL  
30  $O_2 L^{-1}$ . However, taxa vary markedly in their sensitivity to hypoxia and can be  
31 affected by a broad spectrum of low oxygen levels. To better understand how  
32 reduced oxygen availability impacts physiological and molecular processes in  
33 invertebrates, we investigated responses of an estuarine amphipod to an  
34 ecologically-relevant level of moderate hypoxia ( $\sim 2.6 \text{ mL } O_2 L^{-1}$ ) or severe hypoxia  
35 ( $\sim 1.3 \text{ mL } O_2 L^{-1}$ ). Moderate hypoxia elicited a reduction in aerobic scope, and  
36 widespread changes to gene expression, including upregulation of metabolic genes  
37 and stress proteins. Under severe hypoxia, a marked hyperventilatory response  
38 associated with maintenance of aerobic performance was accompanied by a muted  
39 transcriptional response. This included a return of metabolic genes to baseline levels  
40 of expression and downregulation of transcripts involved in protein synthesis, most of  
41 which indicate recourse to hypometabolism and/or physiological impairment. We  
42 conclude that adverse ecological effects may occur under moderate hypoxia through  
43 compromised individual performance and, therefore, even modest declines in future  
44 oxygen levels may pose a significant challenge to coastal ecosystems.

45

46 Keywords

47 hypoxia, estuary, integrative, ecophysiology, Crustacea

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51 1 Introduction

52 Shallow coastal ecosystems, including estuaries, are pressured by increasing  
53 severity and duration of hypoxia driven by increased nutrient pollution and climate  
54 change (Breitburg et al., 2018). Hypoxia was originally defined by ecologists as a  
55 threshold oxygen concentration of  $< 2 \text{ mL O}_2 \text{ L}^{-1}$  based upon avoidance behaviour  
56 and mass mortality of benthic organisms (Diaz and Rosenberg, 2008). However, the  
57 use of a singular 'limit' to define hypoxia has been the subject of considerable  
58 discussion given that taxa vary markedly in their sensitivity to reduced oxygen (Galic  
59 et al., 2019; Vaquer-Sunyer and Duarte, 2008). The incorporation of physiological  
60 evaluations in hypoxia studies has identified dissolved oxygen thresholds detrimental  
61 to a range of taxonomic groups with fish and crustaceans thought to be most  
62 sensitive (Galic et al., 2019; Vaquer-Sunyer and Duarte, 2008). As a result, more  
63 conservative thresholds for dissolved oxygen have been proposed to support  
64 fisheries and the conservation of coastal biodiversity (Steckbauer et al., 2011).

65

66 While it is now widely recognised that biota can be affected by a broad spectrum of  
67 dissolved oxygen levels (Galic et al., 2019; Vaquer-Sunyer and Duarte, 2008), the  
68 underpinning integrated mechanisms are largely unknown, particularly for  
69 invertebrate species (Spicer, 2014). In-depth analyses of these mechanisms will aid  
70 prediction of how individuals, species, communities and ecosystem function will be  
71 affected by the chronically reduced oxygen levels predicted to occur under climate  
72 change (Breitburg et al., 2018; Galic et al., 2019; Spicer, 2014). Integrative analyses  
73 have largely been restricted to understanding mechanisms elicited by severe  
74 hypoxia (Boutilier and St-Pierre, 2000) which may be associated with mass mortality  
75 in nature (Diaz and Rosenberg, 1995). Mortality is thought to be driven by disruption

76 of aerobic metabolism at a critical oxygen tension ( $P_c$ ), which compromises essential  
77 cellular energy stores (ATP) resulting in time-limited survival, dependent on the  
78 ability of organisms to suppress metabolic ATP demand (Boutilier and St-Pierre,  
79 2000). Molecular evidence from fish and a small number of crustacean species  
80 appears to support this paradigm but requires assessment for a wider variety of  
81 species (Rathburn et al., 2013; Richards, 2009). In fish and crustaceans, metabolic  
82 suppression may be achieved through reduced activity and a reduction of ATP-  
83 demanding cellular processes such as protein synthesis (Gracey et al., 2001; Seibel  
84 et al., 2018). This may be accompanied by up-regulation of a suite of genes, despite  
85 being energetically-compromised, to enhance mitochondrial activity and oxygen  
86 carriage by respiratory pigments, increase anaerobic (glycolytic) ATP production and  
87 prevent cellular damage (Larade and Storey, 2009; Nikinmaa and Rees, 2005;  
88 Richards, 2009).

89

90 While the effects of severe hypoxia are relatively well characterised, our  
91 understanding of responses to moderate hypoxia is more disjointed, despite it being  
92 prevalent in nature with consequences for estuarine assemblage composition  
93 (Farrell and Richards, 2009; Froehlich et al., 2015; Spicer, 2016). Under moderate  
94 hypoxia, fish and invertebrates can experience altered activity, ecological  
95 interactions and fitness traits such as growth and reproduction (Galic et al., 2019;  
96 Vaquer-Sunyer and Duarte, 2008). The mechanisms supporting function under  
97 moderate hypoxia have received some attention, albeit indirectly, as part of studies  
98 where acutely declining oxygen tensions are employed. Transitioning through  
99 moderate hypoxia does not typically disrupt resting aerobic metabolism, which is  
100 maintained by alterations to ventilation and circulation (Grieshaber et al., 1994). In

101 fish, the increased challenge of sustaining resting rates of aerobic metabolism may  
102 impact aerobic scope (Farrell and Richards, 2009), which underpins many facets of  
103 fitness and ecological performance (Pörtner, 2010). However, changes to aerobic  
104 scope under hypoxia are not well characterised for most ecologically-important  
105 coastal invertebrates. In the longer term, resting rates of aerobic metabolism may  
106 continue to be sustained through enhanced rates of ventilation or gill plasticity  
107 (McMahon et al., 1974; Sollid et al., 2003). However, at lower levels of organisation,  
108 arguably, the only response which has been well characterised is adjustments to  
109 oxygen carriage by respiratory pigments (Pan et al., 2017). The limited evidence  
110 available at the molecular level for aquatic invertebrates points to longer term  
111 moderate hypoxia eliciting a minimal response in terms of global gene expression  
112 (Brouwer et al., 2007).

113

114 Given the increasing prevalence of hypoxia in estuarine ecosystems and the  
115 predicted increase in both its intensity and duration (Breitburg et al., 2018), this  
116 multidisciplinary study investigated the physiological and molecular mechanisms  
117 elicited by more 'moderate' hypoxia compared to those elicited by severe hypoxia.  
118 The brackishwater amphipod, *Gammarus chevreuxi* was used as a model as it is an  
119 ecologically-important decomposer in brackishwater habitats (Lincoln, 1979) and its  
120 transcriptome has recently been sequenced (Collins et al., 2017; Truebano et al.,  
121 2013). Its life history and physiological responses to environmental stress have also  
122 received attention (Girisch et al., 1974; Lowenstein, 1934; Subida et al., 2005)  
123 including hypoxia, where the  $P_c$  for the species lies at ~ 12% air saturation (% a.s.)  
124 (~ 2.4 kPa) but long term fitness effects have been documented at 40% a.s ( ~ 8  
125 kPa) (Truebano et al., 2018). A number of key physiological, biochemical and

126 transcriptomic responses to hypoxia were investigated after 7 d exposure to  
127 moderate (40 % a.s., ~ 2.6 mL O<sub>2</sub> L<sup>-1</sup>, ~ 8 kPa) and severe hypoxia (20 % a.s., ~ 1.3  
128 mL O<sub>2</sub> L<sup>-1</sup>, ~ 4 kPa). Organismal responses were characterised by measurement of  
129 resting and active rates of oxygen uptake (a proxy for metabolism) and calculation of  
130 aerobic scope. Oxygen uptake and transport systems (ventilation and circulation),  
131 and biochemical indicators of anaerobic metabolism (end-product L-lactate) were  
132 investigated alongside transcriptome profiling, *via* RNA-Seq. This discovery-led NGS  
133 (next-generation sequencing) approach provides the first insight into the molecular  
134 response to hypoxia for this species and pinpoints which mechanisms are regulated  
135 by moderate and severe hypoxia, and may contribute to altered performance.

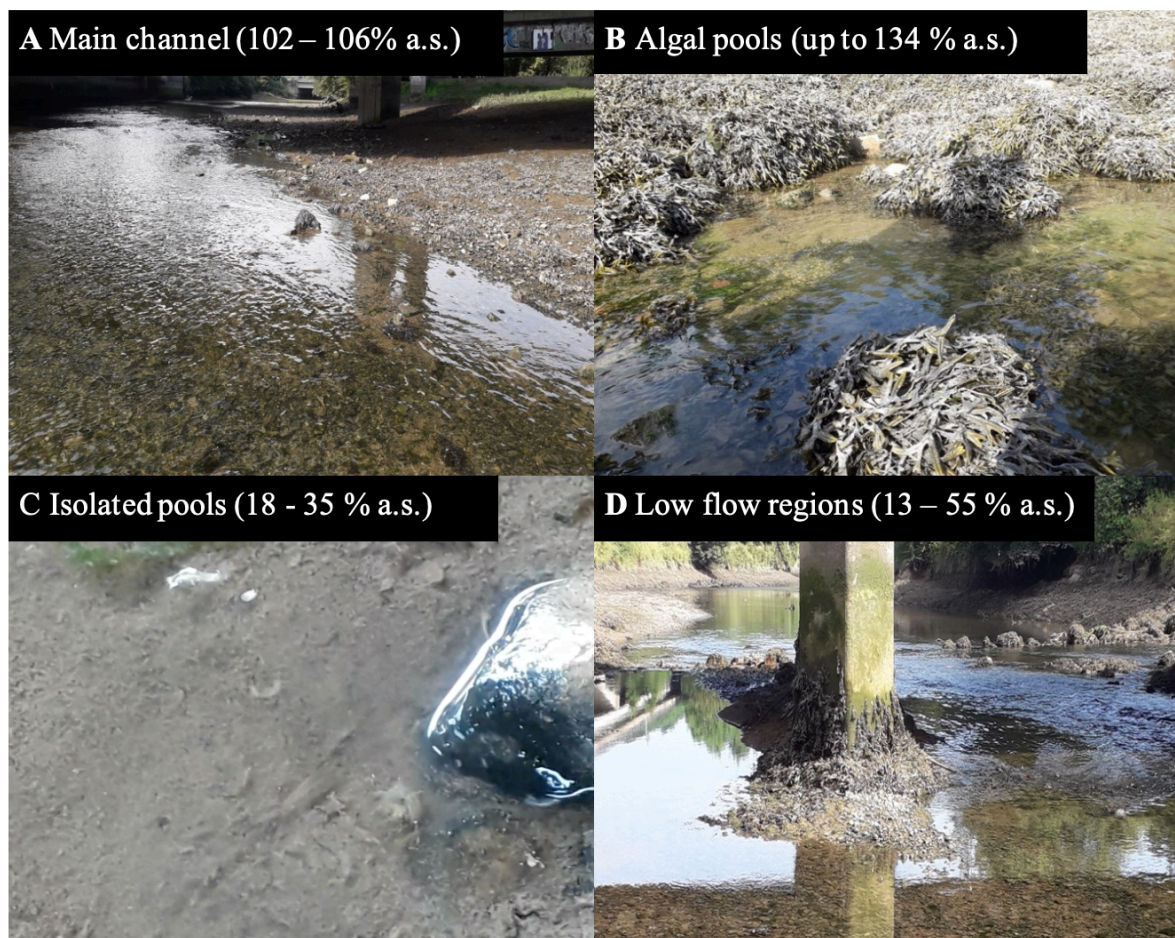
136

## 137 2 Methods

### 138 2.1 Sampling site and pre-exposure conditions in the laboratory

139 *Gammarus chevreuxi* were collected using a hand-held net from the Plym estuary,  
140 Devon (-50 ° 39 ' 03 " N, 4 ° 08 ' 56 " W). The site is subject to tidal influence  
141 experiencing variable salinities (S = 0 – 30) on a daily basis (Houston, 2013). Spot  
142 measurements of dissolved oxygen were made on one day at low tide using a hand-  
143 held dissolved oxygen probe (ProDO 2030, YSI Inc., Ohio, USA). The site  
144 experiences considerable variation in oxygen tensions including normoxia within the  
145 main river channel (102 – 106 % a.s.) (Fig. 1A) to hyperoxia (up to 134 % a.s) in  
146 areas of high algal density (Fig. 1B). Different intensities of hypoxia are present in  
147 small pools isolated from the river channel at low tide (18 – 35 % a.s.) (Fig. 1C) and  
148 regions of the main channel of low flow (13 – 55 % a.s.) (Fig.1D). Within an hour of  
149 collection, amphipods were returned to the laboratory and kept in stock aquaria (Vol.  
150 = 10 L), where they were acclimated to controlled conditions (T = 15 °C, S = 15, 12

151 h:12 h L:D regime) for at least one week before use in any experiment. During this  
152 time, they were fed carrot *ad libitum*. Full water changes were performed weekly.  
153 Only adult males (wet mass =  $7.79 \pm 1.67$  mg) were used in the experiments  
154 described below.  
155  
156



157  
158 Fig. 1. *G. chevreuxi* inhabiting the River Plym experience considerable variation in  
159 oxygen tensions such as in (A) the main channel (normoxic), (B) algal pools  
160 (hyperoxic), (C) shallow pools isolated from the main channel at low tide (moderately  
161 to severely hypoxic) and (D) regions of low flow (moderately to severely hypoxic).  
162 Images illustrate the range of environments in which amphipods are found, and the  
163 variation in dissolved oxygen that characterise them.



164

165 2.2 Exposure to different intensities of hypoxia

166 Exposure of amphipods to different intensities of hypoxia was achieved using a  
167 mesocosm system consisting of 24 sealed aquaria (Vol. = 1.4 L, eight aquaria per  
168 treatment, eight individuals in each) maintained in a temperature-controlled facility (T  
169 = 15 °C). After the pre-exposure period, individuals were exposed to one of three  
170 oxygen regimes: normoxia (100 % a.s.:  $90.6 \pm 0.2$  % a.s), moderate hypoxia (40 %  
171 a.s.:  $39.1 \pm 0.7$  % a.s) consistent with seasonal hypoxia in local estuaries (Morris et  
172 al., 1982; Uncles et al., 2002), or severe hypoxia (20 % a.s.:  $22.9 \pm 0.9$  % a.s). Other  
173 environmental factors were kept constant (T =  $14.2 \pm 0.1$  °C, S =  $14.7 \pm 0.1$ , 12 h L:  
174 12 h D).

175

176 Different intensities of hypoxia were produced by aspirating a gas mixture,  
177 constructed from nitrogen and “carbon dioxide-scrubbed” air (air previously aspirated  
178 through 1 M NaOH solution) directly into the water through an airline, with the flow  
179 controlled using adjustable flow valves (100 % a.s.: 5 L min<sup>-1</sup> air; 40 % a.s.: 0.6 L  
180 min<sup>-1</sup> N<sub>2</sub> gas to 0.4 L min<sup>-1</sup> air; 20 % a.s.: 1.2 L min<sup>-1</sup> N<sub>2</sub> gas to 0.4 L min<sup>-1</sup> air)  
181 (FR2000 Flowmeter, Key Instruments, Pennsylvania, USA). Temperature and  
182 oxygen tension in aquaria waters were recorded daily using an oxygen microsensor  
183 (Pm-Pst7, Presens, Regensburg, Germany) and temperature probe (Pst 100,  
184 Presens, Regensburg, Germany) coupled to a dissolved oxygen meter (Microx 4,  
185 Presens, Regensburg, Germany). Salinity was measured every 1 - 2 d using a  
186 refractometer (HI96822 Digital Refractometer, Hanna Instruments Ltd., Leighton  
187 Buzzard, UK). Amphipods were fed carrot *ad libitum* during the experiment and  
188 water was fully changed every 3 - 4 d to ensure good water quality. All amphipods

189 were kept under these conditions for 7 d, which is a sufficient time period to allow  
190 acclimation of individuals (Truebano et al., 2018), before their responses to hypoxia  
191 were characterised as outlined below.

192

### 193 2.3 Physiological responses to different intensities of hypoxia

194 Individuals were starved *in situ* for 12 h prior to any measurements of oxygen uptake  
195 taking place. To measure rates of oxygen uptake individuals were carefully placed in  
196 plastic mesh envelopes (mesh size = 1 mm) which mimicked the tight spaces  
197 between rocks where these animals are found *in situ* and to try to minimise activity.  
198 Each envelope was then transferred to a holding aquarium (vol. = 5 L), containing  
199 sea water at the appropriate oxygen tension and allowed to settle for 30 min.

200 Keeping them submerged, individuals were carefully transferred to a 5 mL glass  
201 chamber containing filtered (25  $\mu\text{m}$ ), autoclaved, diluted sea water (S = 15). The  
202 initial oxygen tension (% a.s.) within the chamber was recorded using a needle-type  
203 oxygen micro-sensor (NTH-PSt7, Presens, Regensburg, Germany) connected to an  
204 oxygen meter (Microx 4, Presens, Regensburg, Germany). The chamber was then  
205 sealed, gently transferred to a water bath (T = 15 °C) and the individuals were kept  
206 for 2 h to consume ~10 % a.s. (100 % a.s.: ~ 96 - 81 % a.s., moderate hypoxia: ~ 39  
207 – 27 % a.s., severe hypoxia: ~ 22 – 10 % a.s.), after which period chambers were  
208 mixed by inversion and the oxygen tensions within the chamber were measured  
209 again as described. The rate of oxygen uptake under resting conditions was  
210 calculated from the difference between oxygen tension in the water at the beginning  
211 and at the end of the experiment. Data are expressed as  $\mu\text{L O}_2 \text{ mg wet mass}^{-1} \text{ h}^{-1}$   
212 STP.

213

214 To estimate the rate of oxygen uptake under active conditions, individuals were  
215 chased for 1 min with a plastic pipette before being returned to their mesh envelope  
216 and re-inserted into their respirometry chamber. The chamber was immediately  
217 resealed and the individuals were left for 1 h. The oxygen tension within the chamber  
218 was then remeasured as previously described and the aerobic scope was calculated  
219 by subtracting resting metabolic rate from active metabolic rate. This end-point  
220 metabolic rate assay was utilised in order to minimise disturbance to the amphipods  
221 within the respirometry chamber. Active metabolic rate following chasing of the  
222 amphipod did not return to resting conditions during the respirometry period, a notion  
223 supported by higher ventilation rates observed at the end of the metabolic rate  
224 measurements (Fig. 2).

225

226 Upon removal from the respirometers individuals were gently blotted dry and their  
227 wet mass determined using a microbalance (MSA225P-000-DA, Göttingen Sartorius  
228 AG, Germany,  $\pm 0.01$  mg). After weighing, these active individuals were quickly  
229 frozen in liquid N<sub>2</sub> and stored separately at T = - 80 °C for subsequent determination  
230 of whole body L-lactate concentration.

231

232 To measure the effect of different oxygen regimes on ventilation and perfusion, in  
233 resting and active animals, individuals were observed visually during their time in the  
234 respirometers. The resting and active pleopod beat frequency and heart rate were  
235 observed and quantified in the respirometers (measured twice for 15 s for each  
236 individual) under low power magnification (x 10) using a light microscope (MZ15,  
237 Leica Microsystems Ltd, Cambridge, UK). Ventilation, *via* the beating of pleopods, is  
238 a key mechanism of oxyregulation under hypoxia in gammarid amphipods (Sutcliffe,

239 1984). Therefore, we also characterised scope for ventilation by subtracting resting  
240 pleopod rate from active pleopod rate, due to its importance as a potential  
241 mechanism in changing aerobic scope.

242

#### 243 2.4 Biochemical responses

244 Frozen individuals (wet mass =  $7.72 \pm 1.76$  mg) were sonicated (60 % amplification  
245 for 60 s) in 50  $\mu$ L of 10 % TCA (Fisher Scientific Ltd., Loughborough, UK). The  
246 concentration of L-lactate was quantified using a commercially-available lactate  
247 assay kit (Lactate Kit 735-10, Trinity Biotech, Bray, Ireland, limit of detection = 2  
248 mg/dL). Lactate reagent (100  $\mu$ L) was added to a 10  $\mu$ L subsample of sonicated  
249 supernatant and incubated at room temperature for 10 min. Absorbance ( $\lambda = 540$   
250 nm) of this mixture was measured using a microplate reader (Versamax Microplate  
251 Reader, Molecular Devices LLC, California, USA) and calibrated against standards  
252 (Lactate Standard Solution 826-10, Trinity Biotech, Bray, Ireland).

253

#### 254 2.5 Statistical analyses of physiological and biochemical data

255 All statistical analyses were performed in R v. 3.3.1. For physiological responses,  
256 data showed equal variance when tested using Levene's Test ( $P > 0.05$ ). Nine one-  
257 way ANOVA were utilised to test for the effect of oxygen regime (100, 40 and 20 %  
258 a.s.) on (1) resting metabolic rate, (2) resting pleopod rate, (3) resting heart rate, (4)  
259 active metabolic rate, (5) active pleopod rate, (6) active heart rate, (7) aerobic scope,  
260 (8) scope for ventilation and (9) L-lactate concentration of active individuals.

261 Significant differences between treatments were identified using *post-hoc* Tukey  
262 tests. Statistical significance was assigned at  $P < 0.05$ . Data are expressed as  
263 means  $\pm$  SEM.

264

## 265 2.6 Transcriptomic responses

266 An RNA-Seq experiment to determine responses to different intensities of hypoxia  
267 were performed according to Collins et al., (2017). Briefly, individuals exposed to  
268 100, 40 or 20 % a.s. for 7 d were snap frozen in liquid N<sub>2</sub> and stored at T = - 80 °C  
269 for subsequent transcriptomic analysis. Total RNA was extracted from three pools of  
270 10 individuals (one amphipod from each aquarium and then two from random  
271 aquaria) per treatment using the PureLink RNA Mini Kit (Ambion Inc., California,  
272 USA) and used to construct TruSeq RNA libraries (Illumina, San Diego, USA).  
273 Sequencing was performed on a single lane of an Illumina HiSeq 2000 using 100  
274 base paired-end sequencing (HiSeq 2000, Illumina, San Diego, USA) at The  
275 Genome Analysis Centre, Norwich, UK. Transcriptome assembly was performed  
276 using Trinity v. 2.2.0 (Haas et al., 2013) using default parameters.

277

278 Differentially expressed genes (DEGs) between treatments were identified by  
279 aligning the sequenced reads to the assembled transcriptome using Bowtie v. 1.1.1  
280 (Langmead et al., 2009). Gene counts were then generated using RSEM v. 1.2.29  
281 (Li and Dewey, 2011). Counts data were imported into R v. 3.3.1 using tximport v.  
282 1.0.3 (Soneson et al., 2015). Differential gene expression analysis was performed  
283 using DESeq2 v. 1.12.4 (Love et al., 2014) to identify significantly differentially  
284 expressed genes ( $P_{adj} < 0.05$ ) in pairwise comparisons of 40 % a.s. and 20 % a.s.  
285 against the normoxic control (100 % a.s.). Gene ontology (GO) enrichment analysis  
286 of DEGs ( $P_{adj} < 0.01$ , and  $\log_2$  fold change  $< -1$  or  $> 1$ ) was performed using TopGO  
287 v. 2.24.0 (Alexa and Rahnenfuhrer, 2016) and KEGG enrichment analysis using  
288 clusterProfiler v. 3.0.5 (Yu et al., 2012) to identify biological pathways regulated

289 under exposure to 40 % a.s. and 20 % a.s. compared with the control. Differentially  
290 expressed genes ( $P_{adj} < 0.05$ ) putatively associated with physiological responses to  
291 different severities of hypoxia were further explored. This included genes encoding  
292 for oxygen transporters (hemocyanin) previously identified in Truebano et al., (2018),  
293 aerobic metabolic enzymes (tricarboxylic acid (TCA) cycle enzymes and  
294 mitochondrial electron transport chain (ETC) complexes), anaerobic metabolic  
295 enzymes (glycolytic enzymes), and cellular defences (antioxidant enzymes and heat  
296 shock proteins (HSPs)).

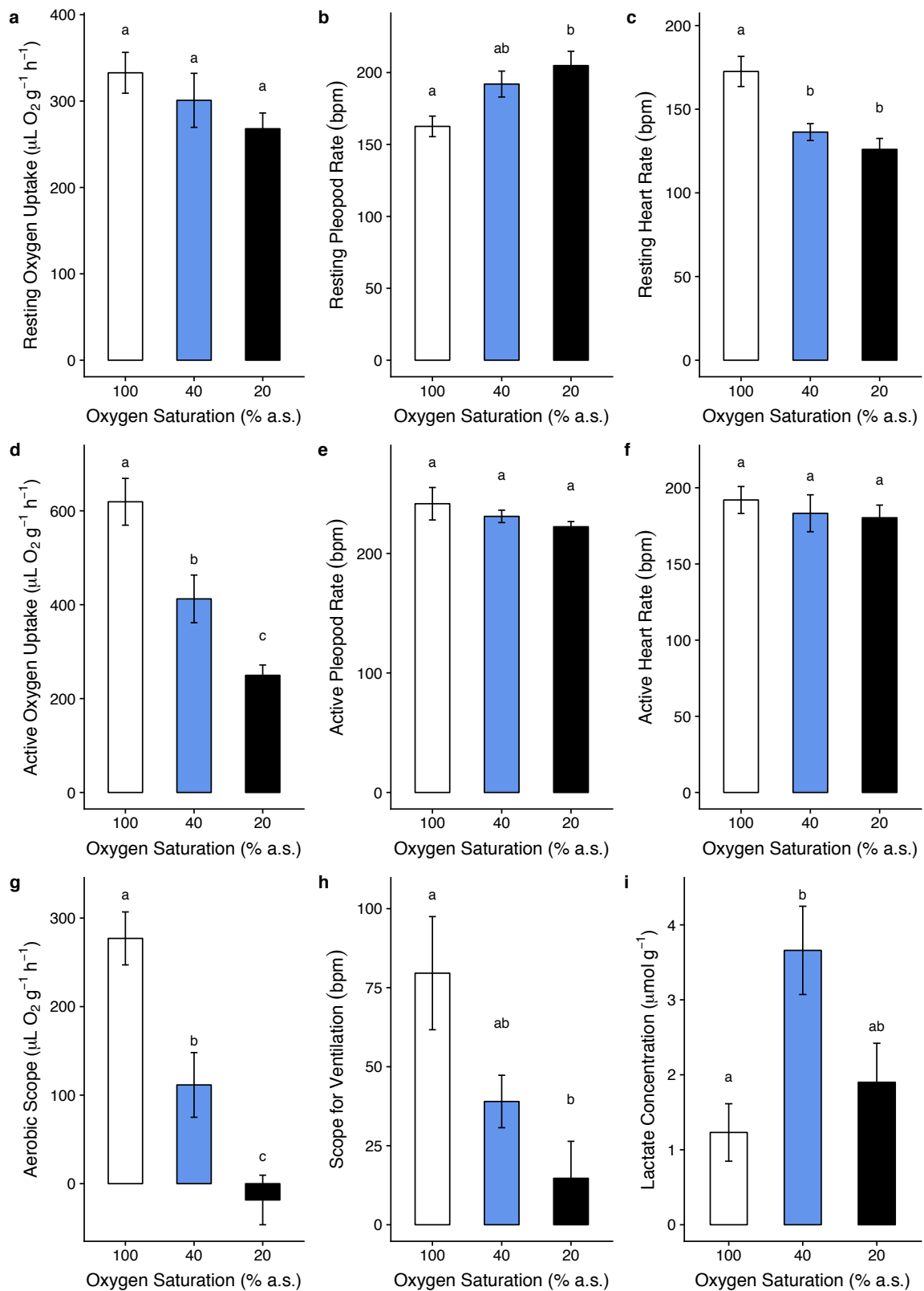
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### 298 3 Results

#### 299 3.1 Physiological and biochemical responses to different severities of low oxygen

300 For resting individuals, there was no significant effect of exposure to either moderate  
301 (40 % a.s) or severe (20 % a.s.) hypoxia on mean mass specific oxygen uptake  
302 compared to normoxia (Fig. 2a, ANOVA  $F_{2,19} = 1.51$ ,  $P = 0.246$ ). Ventilation rate only  
303 increased during exposure to severe hypoxia (Fig. 2b, ANOVA  $F_{2,19} = 5.79$ ,  $P =$   
304  $0.011$ ) but heart rate decreased significantly upon exposure to both hypoxia  
305 treatments for 7 d (Fig. 2c, ANOVA  $F_{2,16} = 11.60$ ,  $P < 0.001$ ). For active individuals,  
306 mass-specific rate of oxygen uptake was significantly lower in individuals exposed to  
307 both moderate (Tukey  $P = 0.013$ ) and severe hypoxia (Tukey  $P < 0.001$ ) compared  
308 to those under normoxic conditions (Fig. 2d, ANOVA  $F_{2,17} = 15.82$ ,  $P < 0.001$ ). For  
309 active individuals, there was no effect of hypoxia exposure on either ventilation rate  
310 (Fig. 2e, ANOVA  $F_{2,16} = 1.40$ ,  $P = 0.275$ ) or heart rate (Fig. 2f, ANOVA  $F_{2,16} = 0.04$ ,  $P$   
311  $= 0.966$ ). Significant reductions in aerobic scope (Fig. 2g, ANOVA  $F_{2,17} = 17.25$ ,  $P <$   
312  $0.001$ ) occurred under both moderate (Tukey  $P = 0.009$ ) and severe (Tukey  $P <$   
313  $0.001$ ) hypoxia. The slight negative value for aerobic scope observed under 20 %

314 a.s. may reflect zero aerobic scope as it did not differ significantly from zero (One  
315 sample T-test,  $T_6 = -0.65$ ,  $P = 0.268$ ). Declining aerobic scope may be associated  
316 with a significant decline in the ability to increase ventilation above resting rates  
317 under hypoxia, measured as scope for ventilation (Fig. 2h, ANOVA  $F_{2,16} = 6.46$ ,  $P =$   
318  $0.009$ ). Declining aerobic scope was also associated with an increase in L-lactate  
319 concentration (Fig. 2i, ANOVA  $F_{2,13} = 5.28$ ,  $P = 0.021$ ) in individuals exposed to  
320 moderate (Tukey  $P = 0.026$ ), but not severe hypoxia which displayed a response  
321 intermediate of 100 % a.s. (Tukey  $P = 0.726$ ) and 40 % a.s. (Tukey  $P = 0.09$ ).



322

323 Fig. 2. The physiological effects of 7 d exposure to normoxia (100 % a.s.), moderate

324 hypoxia (40 % a.s.) or severe hypoxia (20 % a.s.). (a) resting oxygen uptake (100 %:



325  $n = 7$ , 40 %:  $n = 8$ , 20 %:  $n = 7$ ) (b) resting pleopod rate (100 %:  $n = 7$ , 40 %:  $n = 8$ ,  
326 20 %:  $n = 7$ ) (c) resting heart rate (100 %:  $n = 6$ , 40 %:  $n = 8$ , 20 %:  $n = 6$ ) (d) active  
327 oxygen uptake (100 %:  $n = 5$ , 40 %:  $n = 8$ , 20 %:  $n = 7$ ) (e) active pleopod rate (100  
328 %:  $n = 5$ , 40 %:  $n = 8$ , 20 %:  $n = 6$ ) (f) active heart rate (100 %:  $n = 5$ , 40 %:  $n = 8$ ,  
329 20 %:  $n = 6$ ) (g) aerobic scope (100 %:  $n = 5$ , 40 %:  $n = 8$ , 20 %:  $n = 7$ ) (h) scope for  
330 ventilation (100 %:  $n = 5$ , 40 %:  $n = 8$ , 20 %:  $n = 6$ ) (i) L-lactate concentration of  
331 active individuals (100 %:  $n = 4$ , 40 %:  $n = 7$ , 20 %:  $n = 5$ ) (mean values  $\pm$  s.e.m).  
332 Letters indicate significant differences between treatments identified by one-way  
333 ANOVA and *post-hoc* Tukey test ( $P < 0.05$ ). For supporting data see Table S1.

334

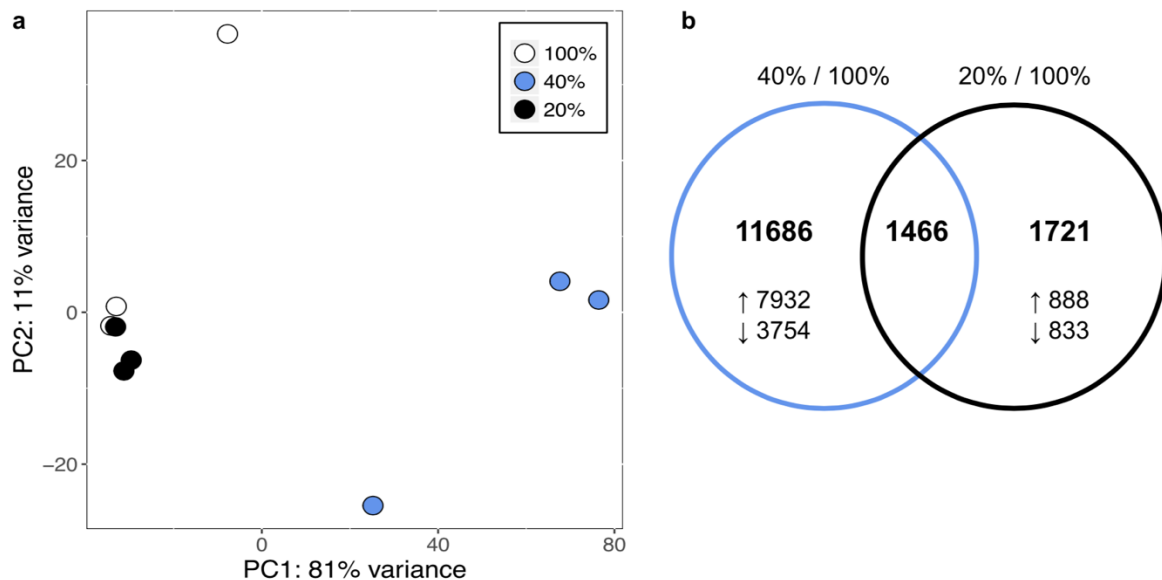
### 335 3.2 Transcriptomic features subject to regulation by moderate and severe hypoxia

336 Principal Component Analysis (PCA) of all genes revealed that samples were  
337 predominately separated along the first principal component (PC1), which accounted  
338 for 81 % of the variance. Along PC1, amphipods exposed to normoxia and moderate  
339 hypoxia differed the most based on their global expression profiles; whereas there  
340 was little separation between normoxia and severe hypoxia exposed amphipods  
341 along this axis (Fig. 3a). Differential expression analysis identified a total of 11,686  
342 unique significantly differentially expressed transcripts ( $P_{adj} < 0.05$ ) between  
343 amphipods exposed to 40 % and 100 %, of which approximately 67 % were up-  
344 regulated. In comparison, a more limited transcriptional response was observed in  
345 animals exposed to 20 % a.s. compared to the normoxic controls, with 1,721  
346 significantly differentially expressed unique genes, 52 % of which were up-regulated.  
347 An additional 1,466 significantly differentially expressed genes overlapped between  
348 40 % and 20 % a.s. giving an overall total of 13,152 significantly differentially

349 expressed transcripts between 40 % and 100 % a.s. and 3,187 between 20 % and  
350 100 % a.s. (Fig. 3b).

351

352



353

354 Fig. 3. Transcriptomic responses to moderate (40 % a.s.) and severe hypoxia (20 %  
355 a.s.). (a) Principal components 1 and 2 from principal component analysis performed  
356 using variance stabilised counts of all tested genes ( $n = 198,862$ ) across all tested  
357 samples ( $n = 3$  pools per treatment) (b) number of DEGs ( $P_{adj} < 0.05$ ) in comparison  
358 to control for 40 % a.s. and 20 % a.s. Upward and downward arrows indicate up and  
359 down-regulation respectively in each treatment compared to the normoxic control.

360

361 Functional enrichment analysis of significantly up-regulated genes following  
362 exposure to moderate hypoxia (40 % a.s.) compared to normoxia identified 23  
363 significantly affected KEGG pathways ( $P_{adj} < 0.05$ ) (Fig. S1). These were  
364 predominantly linked to protein synthesis and cellular repair/defence. GO term  
365 analysis revealed significant enrichment of processes involved in protein synthesis

366 and oxygen carriage by respiratory pigments, amongst others (Fig. S2). Down-  
367 regulated genes under moderate hypoxia compared to normoxia were significantly  
368 enriched for GO terms involved in muscle structure (Fig. S2).

369

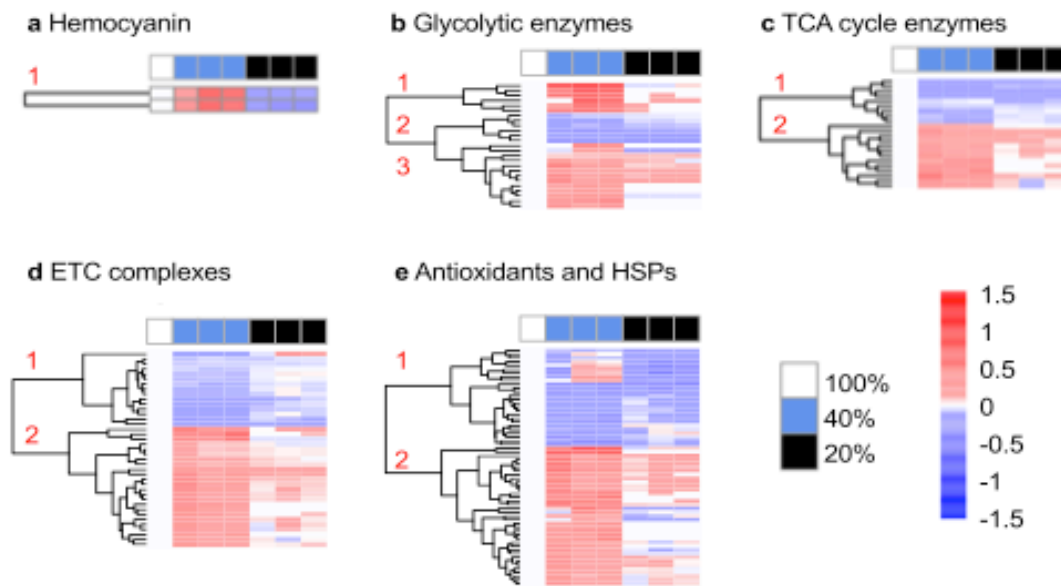
370 In response to severe hypoxia, up-regulated DEGs were significantly enriched for  
371 multiple GO terms involved in chitin metabolism and cuticle structure (cuticle  
372 proteins/resilins) (Fig. S3). Coagulation was the only KEGG pathway significantly  
373 enriched for upregulated DEGs under severe hypoxia. Down-regulated DEGs under  
374 severe hypoxia were also significantly enriched for chitin metabolism. Thus, there  
375 was mixed regulation of chitin metabolic pathways consisting primarily of chitin  
376 catabolic pathways (Fig. S3). Also, protein degradation and glucose metabolism GO  
377 terms were significantly enriched (Fig. S3). Ribosomal pathways were the only  
378 significantly affected KEGG pathway for down-regulated genes under 20 % a.s ( $P_{adj}$   
379  $< 0.05$ ).

380

### 381 3.3 Transcripts putatively associated with the physiological responses to moderate 382 and severe hypoxia

383 Hemocyanin (Fig. 4a) and metabolic enzyme genes including multiple glycolytic  
384 enzymes (Fig. 4b), TCA cycle enzymes (Fig. 4c), and mitochondrial subunits (Fig.  
385 4d) exhibited increased levels of expression under 40 % a.s. compared to normoxia.  
386 Two hemocyanin transcripts corresponding to two different hemocyanin subunits  
387 were putatively identified, both of which were up-regulated under moderate hypoxia.  
388 Multiple glycolytic enzyme contigs (e.g. phosphofructokinase (*PFK*), fructose  
389 bisphosphate aldolase (*FBP*), glyceraldehyde 3-phosphate dehydrogenase  
390 (*GAPDH*)) were significantly up-regulated which may be associated with the

391 significant higher L-lactate concentration found in active individuals. Several TCA  
392 cycle enzymes including five transcripts annotated as isocitrate dehydrogenase  
393 (*IDH*) and mitochondrial ETC complexes were up-regulated, such as the 11  
394 transcripts annotated as ATP synthase subunits (*ATP $\alpha$*  and *ATP $\beta$* ) and two  
395 cytochrome c oxidase 1 (*COX1*) contigs. Putative antioxidant enzymes were mostly  
396 up-regulated under 40 % a.s. including two contigs annotated as catalase and seven  
397 contigs annotated as superoxide dismutase isoforms (Fig. 4e). Under severe  
398 hypoxia, a significant reduction in the expression of one hemocyanin contig occurred  
399 (Fig. 4a). Glycolytic genes largely returned to baseline levels of expression in  
400 individuals exposed to severe hypoxia (*PFK*, *GAPDH*) or were down-regulated (*FBP*)  
401 (Fig. 4b) and may be associated with the less pronounced accumulation of L-lactate  
402 under 20% a.s. compared to moderate hypoxia. TCA cycle (*IDH*), and mitochondrial  
403 ETC complexes (*ATP $\alpha$*  and *ATP $\beta$* ) also returned to baseline levels of expression in  
404 amphipods exposed to 20 % a.s. (Fig. 4c-d). Cellular antioxidants also mostly  
405 returned to a baseline level of expression but six glutathione-S-transferases were  
406 significantly down-regulated in amphipods exposed to severe hypoxia (Fig. 4e).  
407 Within different heat shock protein families (*HSP70*, *HSP90*), contigs which may  
408 represent different isoforms showed different patterns of regulation under both  
409 moderate and severe hypoxia (Fig. 4e).  
410



411

412 Fig. 4. Heat map of  $\log_2$  fold changes of DEGs ( $P_{adj} < 0.05$ ) for moderate and severe  
 413 hypoxia ( $n = 3$  pools per treatment) in comparison to the mean of the normoxic  
 414 control (100 % a.s.). Counts were subjected to variance stabilising transformation  
 415 using the DESeq2 library prior to calculation of  $\log_2$  fold changes. DEGs belonging to  
 416 selected functional categories thought to underlie the responses to hypoxia are  
 417 shown including (a) Hemocyanin (b) Glycolytic enzymes (c) TCA cycle enzymes, (d)  
 418 ETC complexes and (e) Antioxidants and HSPs. Different clusters are indicated by  
 419 numbers on the dendrogram. The full list of contigs contained within (a-e) and cluster  
 420 information is presented in Table S2.

421

#### 422 4 Discussion

423 We investigated the physiological and molecular responses of the estuarine  
 424 invertebrate *Gammarus chevreuxi* to moderate and severe hypoxia. Previous studies  
 425 have highlighted a range of reduced oxygen levels can impact aquatic invertebrates  
 426 at the organismal level (Galic et al., 2019) and therefore taking cognizance of the  
 427 type of hypoxia experienced *in situ* is required to accurately predict responses  
 428 (Spicer, 2014). We have demonstrated that aquatic invertebrates rely on markedly

429 different strategies upon encountering different intensities of hypoxia. The integrated  
430 mechanisms utilised to deal with less extreme, and often ecologically-relevant, levels  
431 of moderate hypoxia may have been overlooked across species from a range of  
432 coastal environments (Spicer, 2016). For *G. chevreuxi* exposed to moderate  
433 hypoxia, there was a widespread transcriptional response and a significant reduction  
434 in aerobic scope. Under severe hypoxia, however, individuals appeared to adopt a  
435 hypometabolic strategy, characterised by limited recourse to anaerobic metabolism  
436 and a significant downregulation of genes involved in protein synthesis. Given these  
437 differences in the mechanisms affected, hypoxic intensity must be carefully  
438 considered when assessing the ecological effects of low oxygen.

439

#### 440 4.1 Moderate hypoxia has significant implications for estuarine animals

441 The ability to sustain the metabolic demand for oxygen from the environment is  
442 thought to be important in determining species ecological distributions and habitat  
443 use (Deutsch et al., 2015). Moderate hypoxia did not disrupt the ability to regulate  
444 resting metabolism without recourse to a significant hyperventilatory response and  
445 despite a significant bradycardia as previously observed by Truebano et al., (2018).  
446 However, the ability to remain metabolically viable under moderate hypoxia may  
447 come at a significant cost which was only revealed through the use of a discovery-  
448 led NGS approach. The molecular response of *G. chevreuxi* to moderate hypoxia  
449 was far more complex than previous studies on crustaceans seemed to suggest  
450 (Brouwer et al., 2007) with significant changes in the expression of over 13,000  
451 genes compared to normoxia. It is not always clear how transcriptomic responses of  
452 marine invertebrates to hypoxia integrate with those observed at the protein level  
453 (Spicer, 2014) due to potential modifications to translational efficiency under hypoxia

454 (Hardy et al., 2013). However, differences in gene expression profiles may reflect the  
455 metabolic needs of different tissues and be reasonably accurate in its representation  
456 of phenotypic changes (Whitehead and Crawford, 2005).

457

458 For *G. chevreuxi*, molecular changes which included up-regulation of genes  
459 significantly enriched for transcription and translation pathways, may suggest that  
460 amphipods have to actively expend energy to produce novel gene products and  
461 rearrange cellular metabolism (Larade and Storey, 2009). The ability to regulate  
462 whole-organism rates of resting metabolism under moderate hypoxia may be  
463 associated with the up-regulation of multiple genes involved in aerobic metabolism  
464 (TCA cycle enzymes and mitochondrial subunits). This may compensate for reduced  
465 environmental oxygen availability and maintain aerobic ATP production in the  
466 mitochondria (Brouwer et al., 2007) despite bradycardia and absence of a significant  
467 hyperventilatory response. Furthermore, the up-regulation of two hemocyanin genes  
468 may potentially enhance oxygen transport by the respiratory pigment (Johnson et al.,  
469 2016; Truebano et al., 2018).

470

471 Despite an apparent attempt to meet energetic demands aerobically at the molecular  
472 level, these amphipods may be compromised by even fairly moderate levels of  
473 hypoxia. For *G. chevreuxi*, an up-regulation of glycolytic enzyme genes was  
474 observed, including the enzyme *PFK* suggesting that amphipods may be primed for  
475 a transition to less energetically-efficient anaerobic metabolism (Cota-Ruiz et al.,  
476 2015), a notion that is supported by a significant accumulation of L-lactate when  
477 individuals were forced to be active.

478

479 The accumulation of L-lactate in active individuals may be associated with a  
480 significant decline in aerobic scope which theoretical models suggest may also be  
481 compromised as a result of oxidative stress (Sokolova, 2013). This conclusion is  
482 supported by the enhanced expression of several key antioxidant enzymes. Although  
483 antioxidant gene expression may not always correlate with antioxidant enzyme  
484 activity in hypoxia-exposed crustaceans as hypoxia may also affect mRNA stability  
485 (Trasviña-Arenas et al., 2013). However, an upregulation of antioxidant genes has  
486 been used to indicate enhanced levels of oxidative stress in several marine  
487 invertebrates exposed to prolonged hypoxia (Clark et al., 2013; Sussarellu et al.,  
488 2010). The reduction in aerobic scope and the increased levels of transcripts  
489 associated with cellular stress may provide an early warning of the longer-term  
490 fitness consequences (Pörtner, 2010; Sokolova, 2013) of moderate hypoxia on  
491 coastal invertebrates. For example, we have directly observed the reduced fitness of  
492 *G. chevreuxi* under moderate hypoxia where the F<sub>1</sub> generation of hypoxia-treated  
493 parents displayed reduced size at hatching and impaired hypoxic performance  
494 (Truebano et al., 2018).

495

#### 496 4.2 Severe hypoxia elicits markedly different responses

497 Studies describing how aquatic animals respond to severe hypoxia at the  
498 physiological level predict limitation of resting aerobic metabolism and recourse to  
499 anaerobic or hypometabolism (Grieshaber et al., 1994). Under the tested level of  
500 severe hypoxia (20 % a.s., ~ 1.3 mL O<sub>2</sub> L<sup>-1</sup>), *G. chevreuxi* maintained the ability to  
501 regulate aerobic metabolism under resting conditions. A bradycardic response was  
502 also observed but, in this instance, was accompanied by pronounced  
503 hyperventilation, which is thought to improve the extraction of oxygen from the



504 environment at the gills (Sutcliffe, 1984). In isolation, the strong ability to regulate  
505 resting metabolism could indicate that *G. chevreuxi* is fairly hypoxia tolerant and may  
506 be resilient to future increases in the intensity of hypoxia. However, unlike the  
507 situation in moderate hypoxia, regulation of metabolism under severe hypoxia did not  
508 appear to be supported by changes at the molecular level. A surprisingly limited  
509 transcriptomic response was observed under severe hypoxia. As gene expression  
510 was only measured at a singular time point, it is possible that changes to gene  
511 expression could have been induced earlier during exposure to severe hypoxia,  
512 which may have contributed to the reduced magnitude of response compared to  
513 moderate hypoxia. The temporal dynamics of global gene expression under different  
514 intensities of hypoxia remains understudied. For crustaceans, the time course of  
515 global gene expression under different severities of hypoxia has only been  
516 investigated for a singular species (Brouwer et al., 2007). In *Palaemon* (as  
517 *Palaemonetes*) *pugio*, marked changes to gene expression were only observed  
518 under severe hypoxia but not moderate hypoxia (Brouwer et al., 2007), in contrast to  
519 *G. chevreuxi*. However, the magnitude of change elicited by different intensities of  
520 hypoxia seemed consistent across the time course. Severe hypoxia elicited marked  
521 changes to gene expression across all time points whilst moderate hypoxia elicited  
522 limited effects (Brouwer et al., 2007).

523

524 The extremely limited transcriptomic response of *G. chevreuxi*, including baseline  
525 levels of expression of metabolic enzymes and downregulation of one hemocyanin  
526 gene, may suggest the beginning of an alternate hypometabolic strategy under  
527 severe hypoxia particularly as 20 % a.s is approaching the critical oxygen tension  
528 ( $P_c$ ) for the species (approximately 12 % a.s.) (Truebano et al., 2018). A recent study

529 suggests that signals of hypometabolism can occur above  $P_c$  as increasing rates of  
530 ventilation elicited by hypoxia, such as the hyperventilatory response of pleopods  
531 observed for *G. chevreuxi* at 20 % a.s., may utilise an increasing proportion of  
532 consumed oxygen leaving less available to support cellular energy demands  
533 (McMahon, 1988; Wood, 2018). This may lead to metabolic suppression despite  
534 resting rates of oxygen uptake continuing to be regulated at the organismal level  
535 (Wood, 2018).

536

537 Hypometabolism has long been recognised as a key strategy for survival of  
538 organisms under severely low oxygen levels (Larade and Storey, 2002), but the  
539 underlying cellular and molecular pathways are still being characterised for many  
540 non-model marine invertebrate species (Seibel et al., 2018; Spicer, 2014). The  
541 described changes in transcription profiles may indicate that amphipods at 20 % a.s.  
542 were poised for metabolic depression. This only became apparent at the whole  
543 organismal level when the amphipods were forced to be active. Despite an increase  
544 in heart rate, active metabolism could not be sustained resulting in zero aerobic  
545 scope which may be more attributable to there being no scope for increased  
546 ventilation. A similar response has been observed in fish where aerobic scope also  
547 declined to zero under severe hypoxia (Claireaux and Chabot, 2016). A transition to  
548 anaerobic metabolism could have been predicted on the basis of previous studies  
549 (Pörtner, 2010) and, while some accumulation of L-lactate did occur in active  
550 individuals under 20 % a.s. it was, perhaps surprisingly, not as pronounced as  
551 observed under moderate hypoxia. However, this may reflect the limited changes to  
552 gene expression of glycolytic enzymes in individuals exposed to severe hypoxia  
553 compared to the widespread changes to regulation under moderate hypoxia. Limited

554 changes to anaerobic glycolysis genes have also been observed under severe  
555 hypoxia in the prawn *Litopenaeus vannamei* and are thought to be indicative of  
556 metabolic suppression (Rathburn et al., 2013). A hypometabolic strategy could  
557 reduce the need for anaerobic metabolism and slow the accumulation of toxic  
558 anaerobic end products such as L-lactate (Boutilier and St-Pierre, 2000). Costly  
559 cellular processes may be down-regulated to reduce ATP demand and avoid cellular  
560 death through ATP imbalance (Boutilier and St-Pierre, 2000). The limited  
561 transcriptional response of *G. chevreuxi* may therefore reflect the need to reduce the  
562 energetically-demanding production of mRNA and protein (Storey and Storey, 2004)  
563 as previously observed in fish exposed to severe hypoxia (Mandic et al., 2014).  
564 Hypometabolic states are thought to be characterised by enhanced cellular defences  
565 to prolong cellular longevity (Storey and Storey, 2011) but we observed a muted  
566 antioxidant response. However, minimal changes to antioxidants have been  
567 observed under severe hypoxia in deep-sea crabs (Seibel et al., 2018) and baseline  
568 levels of stress proteins could still be sufficient to prevent cellular stress under  
569 severe hypoxia given the general reduction in cellular metabolism (Seibel et al.,  
570 2014).

571  
572 Alternatively, the limited antioxidant response in combination with zero aerobic scope  
573 and reduced capacity for anaerobic metabolism could indicate a severely impaired  
574 state at multiple levels of organisation rather than adaptive hypometabolism. In such  
575 a state, there may be no excess aerobic energy available to support physiological  
576 functions essential for fitness, such as growth (Pörtner, 2012). Reduced moulting  
577 frequency rates have been observed in crustaceans exposed to hypoxia (Das and  
578 Stickle, 1993). Whilst not directly addressed in this study, the significant enrichment

579 of genes involved in chitin metabolism may indicate altered aspects of moulting and  
580 growth (Peruzza et al., 2018). These changes included mixed regulation of chitin  
581 catabolic pathways but upregulation of genes related to cuticle structure such as  
582 cuticle proteins and resilin. Upregulation of cuticle structure genes have been  
583 observed in other hypoxia-exposed crustaceans but the consequences for cuticle  
584 structure remains to be determined (Graham and Barreto, 2019). Models suggest  
585 that zero aerobic scope may ultimately be lethal (Sokolova, 2013) and so amphipods  
586 exhibiting this response may even be close to death. Future increases in prolonged  
587 episodes of severe hypoxia (Diaz and Rosenberg, 2008) may therefore be  
588 detrimental to the persistence of this species.

589

#### 590 4.3 Conclusions

591 We clearly demonstrate, through the adoption of a multilevel approach, that even  
592 moderate levels of hypoxia have implications for aquatic organisms through  
593 reductions in performance. The intensity of environmental oxygen reduction  
594 experienced *in situ* should be considered in any attempt to both understand and  
595 predict the effects of hypoxia on coastal invertebrates. Future increases in the  
596 frequency of fairly moderate hypoxia may threaten the future growth, reproduction  
597 and resilience of coastal species with significant ecological consequences.

598

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605

#### 606 Data availability

607 Availability for the assembled transcriptome (TSA:GFCV01000000) and raw reads  
608 (SRA: SRR5109797-SRR5109805) (Bioproject number: “PRJNA357029”) are  
609 detailed in Collins *et al.*, (2017). Datasets generated and analysed during the  
610 current study are available on request.

611

#### 612 Competing Interests

613 The authors declare no competing interests.

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